



**Conflict of interest certification**

\_\_\_\_\_   
Date

The undersigned certifies that to the best of their knowledge — check only one:

- There is no officer or employee of Arizona State University who has, or whose relative has, a substantial interest in any contract resulting from this request.
  
- The names of any and all public officers or employees of Arizona State University who have, or whose relative has, a substantial interest in any contract resulting from this request, and the nature of the substantial interest, are included below or as an attachment to this certification.

Email address: \_\_\_\_\_

Signature\* \_\_\_\_\_

Printed name: \_\_\_\_\_

Printed title: \_\_\_\_\_

Phone number: \_\_\_\_\_

**Fields with an asterisk are required.**

**Federal debarred list certification****Certification regarding other responsibility matters — August 2020**

Date: \_\_\_\_\_

In accordance with the Federal Acquisition Regulation, 52.209-5:

- a. (1) The offeror certifies, to the best of its knowledge and belief that the offeror and/or any of its principals (check one):
- a. Are  or are not  presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any federal agency.
  - b. Have  or have not , within a three-year period preceding this offer, been convicted of or had a civil judgement rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public — federal, state or local — contract or subcontract; violation of federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion or receiving stolen property; and
  - c. Are  or are not  presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in paragraph (a)(1)(i)(B) of this provision.
  - d. Have  or have not  within a three-year period preceding this offer, been notified of any delinquent federal taxes that exceeds \$3,500 for which the liability remains unsatisfied.

(2)(a) “Principal,” for the purposes of this certification, means an officer, director, owner, partner or person having primary management or supervisory responsibilities within a business entity — general manager, plant manager, head of a subsidiary, division, or business segment, and similar positions.

- b. The offeror shall provide immediate written notice to the university if, at any time prior to contract award, the offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- c. A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the offeror’s responsibility. Failure of the offeror to furnish a certification or provide such additional information as requested by the university may render the offeror non-responsible.
- d. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- e. The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the offeror knowingly rendered an erroneous certification, in addition to other remedies available to the government, the university may terminate the contract resulting from this solicitation for the default.

**Continue to the next page for signature and contact information.**



**Federal debarred list certification signature and contact information**

Email address: \_\_\_\_\_

Signature\*: \_\_\_\_\_

Printed name: \_\_\_\_\_

Printed title: \_\_\_\_\_

Phone number: \_\_\_\_\_

**Fields with an asterisk are required.**



**Anti-lobbying certification**

**Certification and disclosure regarding payments to influence certain federal transactions — Sept. 2007**

Date: \_\_\_\_\_

In accordance with the Federal Acquisition Regulation, 52.203-11:

- a. The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation on Payments to Influence Certain Federal Transactions, included in this solicitation, are hereby incorporated by reference in paragraph (b) of this certification.
- b. The offeror, by signing its offer, hereby certifies to the best of their knowledge and belief that:
  - a. No federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress on their behalf in connection with the awarding of this contract.
  - b. If any registrants under the Lobbying Disclosure Act of 1995 have made a lobbying contact on behalf of the offeror with respect to this contract, the offeror shall complete and submit, with its offer, OMB Standard Form LLL, Disclosure of Lobbying Activities, to provide the name of the registrants, to the university.
  - c. Offeror will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.
  - d. Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by Section 1352, Title 31, United States code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

Email address: \_\_\_\_\_

Signature\*: \_\_\_\_\_

Printed name: \_\_\_\_\_

Printed title: \_\_\_\_\_

Phone number: \_\_\_\_\_

**Fields with an asterisk are required.**