ADDENDUM NUMBER THREE
Clarifications

RE: ASU Infrastructure Utility Master Plan for Tempe Campus and Polytechnic Campuses, DB 101283

Total pages in this addendum: Four (4)

The time and date set for submittal has been extended to 3:00 PM, MST on 10/10/18.

Please note, the following question were submitted prior to the deadline for inquiries at 5:00 PM MST on Monday, September 24th:

1. Q: Addendum 1 indicated that it is ASU’s preference that the project be led by the engineering team. In RFQ Section 4, Attachments and Forms W/Acknowledgements (Required), ASU has incorporated both a Design-Builder Agreement and its T&C’s and Construction Manager-at-Risk (“CMAR”) Instructions and Attachments. Within the CMAR documents, there is the requirement that “Each proposer must hold a current license of the appropriate category issued by the Arizona registrar of Contractors at the time the proposer submits its Proposal.” Our engineering firm does not hold and AZ Contractor license. The RFQ states that by submitting a proposal we are agreeing to comply with the Design-Builder Agreement and its T&C’s, and the CMAR documents. Please confirm that we are correctly interpreting ASU’s project requirements.

A: It is ASU’s preference that the Design Firm take the lead on this project but it is acceptable for the Contractor to take the lead. The Design Firm must hold a business license in the state of Arizona; the Contractor must hold an Arizona Contractors license. The entity that takes the lead, either the Design Firm or the Contractor, will submit the required forms.

2. Q: Per the Arizona Revised Statutes, on public projects in Arizona the party entering into a design-build contract or a CMAR contract must hold a contractor’s license in its own name. (AZ Rev. Statutes Sec. 34-605(E)(2)). Therefore, we believe that our company may be precluded from proposing under the arrangement envisioned by ASU if we do not hold a contractor’s license. Please confirm that we are correctly interpreting ASU’s project requirements.
A: See the reply to Question 1 above.

3. Q: It is our understanding that only the following forms are required to be completed by sub consultant firms and submitted with our proposal: Project Statement of Certification, Supplemental Requirements Certificate, Attachment 4: Proposal Certification Form, Attachment 11: Service Provider Acknowledgement, and Attachment 13: Small Business Diversity Form. Please confirm, or provide a list of all forms required by sub consultant firms to be submitted with the proposal.

A: The Design-Build team which submits a proposal must also complete the referenced forms.

4. Q: Does Attachment 2: Pre-submittal Inquiry Form need to be submitted with our proposal?

A: No.

5. Q: Attachment 4: Proposal Certification includes acknowledgement and acceptance of the CMAR Terms & Conditions and Contract. As our firm is the Design Professional and will be leading this effort per the RFQ and Addendum, please confirm this is the correct form.

A: RFQ Section 4 forms for this project are replaced as follows:
Design-Build RFQ Attachments and Forms (attached)
Design-Build RFQ General Information and Instructions (attached)

6. Q: Please confirm that Attachment 12: ASU SUB W-9 is not required with our proposal submission.

A: Refer to the Design-Build RFQ Attachments and Forms (attached)

7. Q: Please confirm that references are required in Section 1, “Prior Experience and Qualifications” in addition to the Attachment 3: Reference Format Form required in Section 4.

A: Yes.

8. Q: If we submit an electronic copy of our proposal via email by the specified due date and time, is it possible to submit the hard copy of our proposal at a later date?

A: No. The hard copy of your proposal, along with an electronic copy on a flash drive, must be submitted at the same time and not later than 3:00 PM MST on Monday, October 8, 2018.

9. Q: Please clarify which carriers fall under “Express Delivery.” Will FedEx be acceptable?

A: The choice of carrier used to deliver your proposal is left up to the individual Design-Build proposers.
10. Q: Per the RFQ, page 6 or 14, under the scope description, it lists that an assessment and master plan should be performed. However, in paragraph D, it lists that the utility systems should only be “assessed”. Please clarify if a full master plan be required for each system as listed in Paragraph D?

A: Yes. An assessment and master plan will address all existing utility systems on campus including:

- Steam and condensate
- Chilled water
- Hot water
- Soft water
- Domestic water
- Reverse osmosis water
- Fire protection
- Data communications
- Electrical distribution
- Sanitary sewer
- Storm water
- City water
- Natural gas

11. Q: The RFQ specifies that our response demonstrate the qualifications of the design professional who will lead the design-build team. However, the majority of the stated $10M budget will presumably go to the contractor side of the design-build team. Does ASU wish our RFQ response to carry information on the contractor’s qualification to perform the work?

A: Yes.

12. Q. The RFQ has references to Design Professional, CMAR, and Design Build, but we did not see a reference to the contract that will be issued from ASU. Our assumption is that because the project is characterized as a Design-Build that you would be issuing a Design-Build contract to the successful firm. Please confirm that use of the Design-Build contract will be utilized for execution of this scope of work.

A. Yes. A Design-Build contract located at https://cfo.asu.edu/purchasing-forms, will be issued to the successful firm.

Please reference the following attachments:

Design-Build RFQ Attachments & Forms
Design-Build RFQ General Information & Instructions

All other specifications, terms and conditions of the solicitation shall remain unchanged.
NOTE: Please acknowledge your firms’ receipt of this addendum in your bid on the Addendum Acknowledgement form.

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