Lessons learned from JWST and HST, that may help with WFIRST and other future big space missions

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Pre-dinner talk at the Lagrange Institute Conference on “Cosmology and First Light”

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All presented materials are ITAR-cleared. These are my opinions only, not necessarily NASA’s or ASU’s.
Outline: Lessons from JWST

- JWST Lessons: Mega-project lessons also apply to HST & Supercollider. Key is that scale of efforts goes beyond what people are used to.
- Mega-projects demand new rules, in particular regarding building and keeping together a strong Coalition of project supporters and advocates.

Consumers Report: Very Good ⇒ Good ⇒ Neutral ⇒ Fair ⇒ Poor.

- (A) Scientific/Astro-Community Lessons
- (B) Technical Lessons
- (C) Management/Budget/Schedule Lessons
- (D) Political/Outreach Lessons

I thank Dr. Seth Cohen, Garth Illingworth, Rolf Jansen, John Mather, Eric Smith and Harley Thronson for useful comments.

Past, Present and Future: Can and will the dream continue?

True relative size: Hubble, James Webb, WFIRST, & ATLAST (HDST)

My goal today: Inspire the younger folks to successfully build WFIRST and ATLAST (Advanced Technology Large Area Space Telescope).
WARNING: Both Hubble and James Webb are 30–40+ year projects:
You will feel wrinkled before you know it ... :) (chart from Jim Westphal’s office, 1987).
James Webb said in 1969: “In our pluralistic society, any major public undertaking requires for success a working consensus among diverse individuals, groups, and interests. A decision to do a large, complex job cannot simply be reached “at the top” ad then carried through. Only through an intricate process can a major undertaking be gotten under way, and only through a continuation of that process can it be kept going.”


- **Main message:** Build and maintain a “Coalition” of strong project supporters for a “Mega-Project”.

- A Mega-project not only has to be technically feasible, but it also must be and remain politically feasible.

Edmund Burke (1729–1797): “Those who carry on great public plans must be proof against the worst delays, the most mortifying disappointment, the most shocking insult, and what is worst of all, the presumptuous judgment of the ignorant upon their design. ”

Avoid: “History teaches us that mankind learned nothing from history” (G. W. F. Hegel 1832).
JWST Lessons: (Hubble WFC3 images, ≈10 years after it was nearly “canceled” twice ...)

10 filters HST/WFC3 & ACS in ERS reaching AB=26.5-27.0 mag (10-σ) over 40 arcmin² at 0.07–0.15” FWHM from 0.2–1.7 μm (UVUBVizYJH). JWST provides 0.05–0.2” FWHM images to AB≈31.5 mag (1 nJy) at 1–5 μm, and 0.2–1.2” FWHM at 5–29 μm, tracing young+old SEDs & dust.
(A) Scientific/Astro-community lessons from JWST

For a Mega-project to succeed, make sure that you **DO:**

- 1) Have (~1) killer apps with full community support. (Be exciting enough that some dedicate most of their careers to make it happen).
- 2) Project is a must-do scientifically and cannot be done any other way.
- 3) Project highly ranked by community reviews/Decadal surveys.
- 4) Identify and highlight complementarity with other large facilities.
- 5) Still like the science and the project \( \gtrsim 10–20 \) years later.
- 6) Offer project science and grant support to the whole community.
- 7) Keep *advocating* Mega-project to community until launch/first light.

(J. Bahcall and L. Spitzer said they had to “Sell” the HST Project to shepherd it through the approval process. We prefer to call it: “Advocate”. We must make all stakeholders aware of mission purpose and progress throughout its long life cycle).
(A) Scientific/Astro-community lessons from JWST

For a Mega-project to succeed, make sure that you DON’T:

• 1) Have community infighting ("My mission is better than yours" — One key reason for Supercollider (SSC) demise).

   (John Mather: “Management levels above the Mega-project need to help avoid community infighting, and work with advisory groups to ensure that everyone can see the choices. Complete openness is the key”).

• 2) Have other projects canceled because of your Project, or perception thereof. Don’t make enemies whenever possible.

• 3) Have science and grant support for a selected few.

• 4) Have GTO’s be elite: they must serve & represent the community.

• 5) Ignore community input on project science priorities.

• 6) Ever ignore importance of great communication with U.S. patrons: Scientists, contractors, tax-payers, Congress, White House.

• 7) Ever ignore importance of great communication with foreign partners. (International projects are more robust politically, see e.g., SSC).
Any (space) mission is a balance between what science demands, what technology can do, and what budget & schedule allows ... (courtesy Prof. Richard Ellis).
For a Mega-project to succeed, make sure that you DO:

1) Use advanced technologies being developed elsewhere, if possible.

2) Use latest proven technology where you can for killer science apps.

3) Know when not to select the most risky technologies.

4) Do your hardest technology development upfront. Have all critical components at TRL-6 before Mission Preliminary Design Review (PDR).

(Eric Smith: “Even after insuring TRL-6, you need to prove and test manufacturability of the technologies. Creation in a laboratory is not the same as proving something can be built reliably by industry.”)

5) Only design to specs you need and can afford to fabricate & test.

6) Test, test, and retest where needed.

7) Have strong central control of systems engineering.
Technical Lessons from the JWST Project

For a Mega-project to succeed, make sure that you **DON’T**:

1. Use technologies below TRL-6 at Mission PDR.
2. Defer project component PDR’s or CDR’s to well after Mission PDR or CDR, resp.
3. Do system tests whose outcome do not make you change course.
4. Ask for $1 \mu m$ diffraction limit unless you must have & can afford it.
5. [If you can’t afford $1 \mu m$ JWST diffraction limit, HOLD ground at $2.0 \mu m$, AND insist best effort made at $1 \mu m$ without being cost-driver.]
6. Allow scientists to change requirements after Phase A (unless to reduce risk).

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Def: TRL-6 = “(Sub-)system model or prototyping demonstration in a relevant end-to-end environment (ground or space).”

Def: PDR = Preliminary, CDR = Critical Design Review.
When is a Mission Too Cheap?

Development Cost as Function of Complexity

\[ y = 5.6931e^{5.9893x} \]

\[ R^2 = 0.8973 \]

- **Successful**
- **Failed**
- **Impaired**
- **To-be-determined**

*“A Complexity-based Risk Assessment of Low-Cost Planetary Missions: When is a Mission Too Fast and Too Cheap?”, Bearden, D. A.*
For a Mega-project to succeed, make sure that you DO:

1) Have competent AND project-friendly management in ALL of NASA.

2) Make conservative full end-to-end budget before Mission CDR.
   (John Mather: Need correct cost analysis before final commitments are made. NASA has the responsibility to get it right).

3) Make sure budgets are externally reviewed, and at ≥80% joint cost+ schedule confidence level. (Could not do ≤2010; Did so early 2011).

4) Plan & effectively use 25–30% ($+schedule!) contingency each FY.
   (Harley Thronson: “Corollary of (3)–(4): Although cost and schedule control must be introduced from the start, do not (cannot) undertake costing too early in the process.”)

5) Have a viable list of cost-saving and meaningful descopes.
   (John Mather/Eric Smith: “Don’t overestimate the benefit of descopes. After a commitment is made (in Phase C/D), it is extremely expensive — and may be an international incident — to delete components that have been accepted into the mission. Scientists must learn to accept descopes in Phase A — the power-point phase — when they are still feasible, and often essential to have a mission survive.”)
Keys to stay on schedule:
1) Sufficient Project contingency (\( \geq \) 25% of total).
2) Well replanned and managed Project (starting late summer 2011).
How to launch JWST while minimizing impact on NASA Space Science?

NASA HQ Reorg: JWST budget no longer comes from SMD/ASD (Left); JWST was moved to be directly under the NASA Administrator (Right).
For a Mega-project to succeed, make sure that you DO (cont):

- 6) Have great communication with all (sub-)contractors.
- 7) Put management pressure on contractors, when necessary.
- 8) Have best work-force from contractors for entire length of project.
- 9) Prioritize testing, and test extensively.
- 10) Carefully construct the incentives in your industrial contracts.

(Eric Smith: For-profit companies are very adept at insuring their teams win incentives. Hence, the Project contract needs to balance its incentives to get the best possible outcome in terms of both technical performance, cost and schedule).
For a Mega-project to succeed, make sure that you DON’T:

1) Advocate project with optimistic budget estimates.  
(Lesson number 1 from HST: Don’t buy in at bargain prices):

HST was 450 M$ in FY78. At its 1990 launch, it was 1.5 B$ \textit{without} the Shuttle launch or servicing cost. Jean Oliver said: “When someone asks you: Can you build it for xx $, the answer is invariably “Yes”, and then folks go about making that work” (see Robert Smith’s book).

(John Mather: “Our job includes communicating progress, and being as open with the world as possible. Eric Smith: Everyone is trying their best to understand the costs of things that have never before been attempted.”)

2) Cut project contingency to below critical mass (\textit{i.e.} \(\lesssim 25-30\% / \text{FY}\)).

When project contingency is cut to below \(\lesssim 25-30\% / \text{FY}\), Project managers have no choice but to defer essential tasks to next FY’s, which can ruin the Project’s long-term budget plan very quickly.

3) Try (or allow Contractors to try) to save funds by cutting corners.

(Eric Smith: If jobs are not properly allocated, the work can’t be done for any price. You need individual key people and organizations, and strong central oversight.)
(C) Management/Budget/Schedule Lessons from JWST

For a Mega-project to succeed, make sure that you DON’T (cont):

- 4) Change science requirements after Phase A (unless essential to simplify, reduce risk and cost).

- 5) Allow contractors to change requirements, or have requirements jeopardize/delay project budget/schedule.

- 6) Change contract midstream, unless it is to reduce risk.

(Eric Smith: Sometimes it’s is better to take the paperwork hit if it is technically required to change a requirement as you go).

- 7) Defer project component PDR’s or CDR’s to well after Mission PDR or CDR, resp.

- 8) Test items without a clearly defined decision path.
Mega-project needs heritage/links to technology from other parts of govt.

Mega-project needs strong technology benefits/lessons TO other parts of govt!
(D) Political/Outreach Lessons from JWST

For a Mega-project to succeed, make sure that you DO:

- 1) Assemble, maintain and fully use a strong **Coalition** of supporters and advocates who will fight for the project, since there will be storms and budget cancellations (HST did so successfully, SSC did so too late).

  (Robert Smith: JWST didn't have its full “Coalition” in place until it got into serious budget trouble in 2010/2011).

- 2) Understand & foresee full political landscape of contractor world.

- 3) Have strong multi-partisan & multi-national support for project.

- 4) Educate, educate, and re-educate government & general public about project’s essence.

  (Harley Thronson: “Strong support for and opposition to Mega-projects can be as much *emotional* as rational. Not only does it matter to Coalition stakeholders whether it can be built, my state gets dollars, it is affordable, the technology is ready, and whether its science is exciting and possible. Both support and opposition can be emotional (or non-rational): pride, ego, bragging rights, reaction to animations and visualizations, and to project appearance/home institution, etc, all do matter. A Mega-project must be mindful of the very real human characteristics involved as well.”)
(D) Political/Outreach Lessons from JWST

For a Mega-project to succeed, make sure that you DO (cont):

- 5) **Strong heritage/links to technology from** other parts of government.
  (Eric Smith: “Unless an item is “build-to-print”, the heritage benefit may not be great. We often have to modify items enough that we are making a new item effectively.”)

- 6) **Strong technology benefits/lessons TO** other parts of government.

- 7) **Strong, compelling benefits to society (“must-have” applications).**
  (SSC could not explain to a broad audience: Why SSC?).

- 8) **Know your (funding-) decision makers: what exactly makes them tick?**

- 9) **Have a last resort (“nuclear”) option, but plan to never have to use it.**

- 10) **Expect the unexpected.** This includes: be willing to do (legal and moral) things to help save a Mega-Project that may cost you your job.
JWST hardware made in 27 US States: \( \geq 98\% \) of launch-mass finished.

Ariane V Launch & NIRSpec provided by ESA; & MIRI by ESA & JPL.

JWST Fine Guider Sensor + NIRISS provided by Canadian Space Agency.

JWST NIRCam made by UofA and Lockheed.

This nationwide + international coalition was critical for project survival!
Keep all levels of government informed about your mission:

Annual Girl Scout Stargazing at the White House South lawn (July 2015).

Amber Straughn (right; ASU graduate, now at NASA GSFC working for Dr. John Mather) informs the Obama’s about NASA and JWST.
(D) Political/Outreach Lessons from JWST

For a Mega-project to succeed, make sure that you DON’T:

• 1) Have project politicized in the government (lesson from SSC).
  (John Mather: Entire professional societies went to war to stop the Space Station. They didn’t have to do that. This rubbed off negatively onto the SSC as well ... Astronomy has done well because our Decadal Survey sets priorities, which the community is willing to accept and abide by).

• 2) Assume your government understands or likes the project: Educate, educate, and re-educate.

• 3) Have project become target of social media: Must continuously educate instead and reach out to opponents.

• 4) Have project too concentrated in one state (or nation): MUST distribute efforts and wealth.

• 5) Don’t pick fights you cannot win.

• 6) Ever fall asleep, not until launch anyway ...
Conclusion: How can we knock it out of the ball-park in the next 30 years?

ATLAST (\& ground-based 20-40 m telescopes) will fill Yankee ballpark ...

- New paradigm: Too large for individual universities or countries to take on.
- Countries must closely collaborate world-wide to make this happen.
SPARE CHARTS
Summary: Main Lessons from the JWST Project:

(1) Mega-projects demand new rules, in particular regarding building and keeping together a strong Coalition of project supporters and advocates:

(A) JWST Scientific/Astro-Community Lessons:

- 1) Project is a must-do scientifically and cannot be done any other way.
- 2) Keep advocating Mega-project to community until launch/first light.
- 3) Don’t ignore importance of communication with patrons: Scientists, international partners, contractors, tax-payers, Congress, White House.
- 4) Don’t have community infighting (“My mission is better than yours” — One key reason for Supercollider (SSC) demise).

(B) JWST Technical Lessons:

- 1) Use advanced technologies being developed elsewhere, if possible.
- 2) Know when not to select the most risky technologies.
- 3) Do your hardest technology development upfront. Have all critical components at TRL-6 before Mission Preliminary Design Review (PDR).
(C) JWST Management/Budget/Schedule Lessons:

- 1) Make conservative full end-to-end budget before Mission CDR.
- 2) Make sure budgets are externally reviewed, and at $\geq 80\%$ joint cost + schedule confidence level. (Could not do $\leq 2010$; Did so early 2011).
- 3) Plan & effectively use 25–30% ($+$schedule!) contingency each FY.

(D) JWST Political/Outreach Lessons:

- 1) Assemble, maintain and fully use a broad Coalition of supporters and advocates who will fight for the project (SSC did so too late).
- 2) Have strong multi-partisan & multi-national support for project.
- 3) Strong technology benefits/lessons TO other parts of government.

- JWST is the telescope that the community asked for 15 years ago, and it is coming into being as we speak. The community should get ready to submit JWST proposals in less than 3.5 years from today!

OVERALL CONCLUSION: JWST is now on the right track, but we did have to learn our lessons.
DISCLAIMERS:

1. The materials below are our opinions only (Rogier Windhorst & Robert Smith’s), not necessarily NASA’s or our Universities’ opinion.

2. When we get to (D) Political Lessons and suggest “One should”, or “One should not”, we do NOT imply to address civil servants. (i.e., only those individuals who are allowed to reach out to Congress should consider doing so, or should ever feel encouraged doing so).

3. No NASA funds or resources are used to reach out to Congress, nor are University funds or resources used to reach out to State legislators.

4. No ITAR sensitive materials are ever presented in outreach talks or activities for Mega-Projects, and certainly not in such talks given abroad.

5. We are not here to judge, but to learn.
## Fiscal Year 2015 JWST HQ Milestones

<table>
<thead>
<tr>
<th>Month</th>
<th>Milestone</th>
<th>FY2014 Delerral</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2. ISIM Cyo-vacuum Test #2 complete</td>
<td>Completed 9/23/14</td>
</tr>
<tr>
<td></td>
<td>3. Flight and flight spare MIRI Cryocooler Electronics Assembly delivered to JPL</td>
<td>Completed 10/6/14</td>
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<tr>
<td></td>
<td>4. Johnson Space Center Optical Ground Support Equipment integration complete</td>
<td>Completed 10/10/14</td>
</tr>
<tr>
<td>Nov-14</td>
<td>5. Install Engineering Development Unit Secondary Mirror Assembly onto Pathfinder</td>
<td>Completed 10/10/14</td>
</tr>
<tr>
<td></td>
<td>6. Johnson Space Center (JSC) Chamber A commissioning test start</td>
<td>Completed 10/18/14</td>
</tr>
<tr>
<td></td>
<td>7. Data Management Subsystem software Build 3 delivery</td>
<td>Completed 9/30/14</td>
</tr>
<tr>
<td>Dec-14</td>
<td>8. Demonstration model Mid-Boom Assembly thermal vacuum test start</td>
<td>Completed 11/19/14</td>
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<td></td>
<td>9. Transfer Telescope Pathfinder structure ownership to GSFC</td>
<td>Completed 10/31/14</td>
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<tr>
<td></td>
<td>10. Flight Operations Subsystem Build 1 System Design Review</td>
<td>Completed 11/20/14</td>
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<tr>
<td></td>
<td>12. Deliver Flight Cold Head Assembly to ISIM for Cryo-vacuum test #3</td>
<td>Completed 11/10/14</td>
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<tr>
<td></td>
<td>13. Fine Guidance Sensor focal plane arrays ready for integration</td>
<td>Completed 12/9/14</td>
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<tr>
<td>Jan-15</td>
<td>14. Deliver Spacecraft Simulator handbook, Rev B (flight software build 1) to GSFC</td>
<td>Completed 12/11/14</td>
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<tr>
<td></td>
<td>15. JSC Chamber A Commissioning complete</td>
<td>Completed 12/27/14</td>
</tr>
<tr>
<td></td>
<td>17. Sunshield Mid-boom Manufacturing Readiness</td>
<td>Completed 2/9/15</td>
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<tr>
<td></td>
<td>18. Sunshield Flight Layer 3 delivered to Northrop-Grumman (NGAS)</td>
<td>Completed 2/16/15</td>
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<tr>
<td></td>
<td>20. Observatory Operations Scripts Subsystem Build 4 delivery</td>
<td>Completed 1/16/15</td>
</tr>
<tr>
<td></td>
<td>21. Wavefront Sensing and Control Software Build 4 delivery</td>
<td>Completed 12/30/14</td>
</tr>
<tr>
<td>Mar-15</td>
<td>22. Qualification Sunshield Membrane Retention Device thermal vacuum test start</td>
<td>Completed 3/12/15</td>
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<tr>
<td></td>
<td>23. Deliver Cryocooler Jitter Attenuator Assembly to Optical Telescope Element</td>
<td>Delayed to April, ground support equipment issue</td>
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<tr>
<td></td>
<td>24. NGAS Acceptance of Spacecraft propellant tank</td>
<td>Delayed to June due to test unit welding issue, no schedule impact</td>
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<tr>
<td>Apr-15</td>
<td>26. Start acceptance testing of flight Cryocooler Assembly and Electronics</td>
<td>Completed 3/12/15</td>
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<tr>
<td></td>
<td>27. Flight Observatory Deployment Tower Assembly complete</td>
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<td></td>
<td>28. ISIM Vibration Testing complete</td>
<td></td>
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<tr>
<td></td>
<td>29. Start Optical Ground Support Equipment test #1 at JSC</td>
<td></td>
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<tr>
<td>May-15</td>
<td>30. Flight Cryocooler Compressor Assembly to JPL for Acceptance Test #3</td>
<td>Milestone deleted, due to change in thruster design, new milestone in June (#36).</td>
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<tr>
<td></td>
<td>31. Spacecraft Flight Software Build 2.2 Test Readiness Review</td>
<td>Moved to July, re-prioritizing work for efficiencies at Nexolve</td>
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<tr>
<td></td>
<td>32. Sunshield Forward Cover Assembly shipped to NGAS</td>
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<tr>
<td></td>
<td>33. Deliver Flight Aft Optics System to Telescope Pathfinder</td>
<td></td>
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<tr>
<td></td>
<td>34. Data Management Subsystem Build 4 delivery</td>
<td></td>
</tr>
<tr>
<td>Jun-15</td>
<td>35. Attitude Control System test set delivery to Observatory Integration and Test</td>
<td>Completed 2/6/15</td>
</tr>
<tr>
<td></td>
<td>36. Propellant Mid-Course Correction testing complete</td>
<td>(modified milestone to include testing post build of hardware)</td>
</tr>
<tr>
<td></td>
<td>37. Delivery of new Vibration Test System to GSFC</td>
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<tr>
<td></td>
<td>38. ISIM Acoustic testing complete</td>
<td></td>
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<tr>
<td></td>
<td>39. Proposal Planning Subsystem Build 11</td>
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<td></td>
<td>40. Thruster Module Test Readiness Review</td>
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<td>Jul-15</td>
<td>41. Flight spare cryo-cooler assembly to JPL for Acceptance Test #4</td>
<td>Completed 2/23/15</td>
</tr>
<tr>
<td></td>
<td>42. Aft Deployable ISIM Radiator build complete</td>
<td></td>
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<tr>
<td></td>
<td>43. ISIM Electro-Magnetic testing complete</td>
<td></td>
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<tr>
<td>Aug-15</td>
<td>44. Deliver Spacecraft Side Equipment Panels to Observatory integration and testing</td>
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<tr>
<td></td>
<td>45. Deliver Reaction Wheel Assemblies to Observatory integration &amp; testing</td>
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<tr>
<td></td>
<td>46. Start ISIM Cryo-vacuum Test #3</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47. Start Optical Ground Support Equipment Test #2 at JSC</td>
<td></td>
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<tr>
<td>Sep-15</td>
<td>48. Deliver Communications Antenna Bi-Axial Gimbal Assembly to Observatory integration and testing</td>
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</tr>
</tbody>
</table>
FY14: 8 milestones late by 1 month due to Oct 13 Government shutdown.

FY15: 4/5 of the “Lates” not on critical path, causing no launch delay.

## Milestone Performance

- Since the September 2011 replan JWST reports high-level milestones monthly to numerous stakeholders.

<table>
<thead>
<tr>
<th></th>
<th>Total Milestones</th>
<th>Total Milestones Completed</th>
<th>Number Completed Early</th>
<th>Number Completed Late</th>
<th>Deferred to Next Year</th>
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<tr>
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<td>21</td>
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<td>2</td>
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<td>38</td>
<td>20</td>
<td>5</td>
<td>3</td>
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<td>FY2014 ✷</td>
<td>36</td>
<td>23</td>
<td>10</td>
<td>8</td>
<td>11</td>
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<td>FY2015</td>
<td>48</td>
<td>25</td>
<td>16</td>
<td>5*</td>
<td>0</td>
</tr>
</tbody>
</table>

*Late milestones have been or are forecast to complete within the year. Deferred milestones are not included in the number-completed-late tally.

ベンチマーク

* Milestone accounting in FY2014 was complicated by the government shutdown and multicomponent milestone.
we do not want this to happen to U.S. astrophysics

Avoid ending up like SSC (left). Canceled project funds never return!
References and other sources of material shown:

http://www.asu.edu/clas/hst/www/jwst/  [Talk, Movie, Java-tool]
http://ircamera.as.arizona.edu/nircam/
http://ircamera.as.arizona.edu/MIRI/
http://www.stsci.edu/jwst/instruments/nirspec/
http://www.stsci.edu/jwst/instruments/fgs


Hegel, G. W. F. 1832, in “Lectures on the Philosophy of History”, trans. by J. Sibree (McMaster)


Smith, R. W. 2011, Sarton talk on “Lessons learned from HST”