Supplemental Requirements for New Construction Capital Projects and for Renovation Projects over $2,000,000.00

Date: ____________________________

Re: Project Number: 2006-11111
Project Name: XYZ Project

Date: ____________________________

Re: Project Number: 2006-19937  Project Name: ISTB 4

I, BOBBY JOE, CFO do hereby state that XYZ CONTRACTING the following checked requirements will be met for this project. This is covered under (h) 13.19 of the Operating Manual.

Project Contract Amount $ 50,000.00

1. We have taken the following actions to include Disadvantaged Business Enterprises in the design and construction of this project. (The Owner, the CMAR, and each Subcontractor shall include small businesses and disadvantaged business enterprises in the design, engineering and construction of the Project so long as this would result in services that are comparable in quality and would not result in a material increase in costs of the Project. A Disadvantaged business is a business that meets either the Arizona or Federal Small Business definition and is a Woman Owner [WBE], Minority Owner [MBE], Disadvantaged/Disabled owner [DBE], or Disabled Veteran.

☐ We self-perform all work and do not hire subcontractors.

OR

☐ We actively include Diversity Subcontractors when solicit for quotes for work.

☐ We actively include Small Business Subcontractors when solicit for quotes for work.

2. We are a MBE/WBE/DBE and/or Small Business owned firm. Attach documentation one time only if firm is certified. ASU accepts self-certification.

a. A Disadvantaged business is a business that meets either the Arizona or Federal Small Business definition and is a Woman Owner [WBE], Minority Owner [MBE], Disadvantaged/Disabled owner [DBE], Disabled Veteran. **ASU does not limit the size of a diversity firm.** Diversity Firms do not have to be a Small Business.

b. A Small Business can either be certified or can self-certify that they either have less than One Hundred (100) employees or were under $4,000,000 in revenue in the previous year, or have Federal certification as a Small Business.

Check Appropriate

<table>
<thead>
<tr>
<th>MBE</th>
<th>WBE</th>
<th>DBE</th>
<th>Handicapped Vet</th>
</tr>
</thead>
</table>

Designation:

<table>
<thead>
<tr>
<th>SB</th>
<th>Veteran</th>
<th>N/A</th>
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</thead>
</table>
3. We are a minor subcontractor and we hire the following MBE/ WBE/ DBE/ Handicapped Vet/or Veteran owned firms. And/or we hire the following Small Business firm(s). (CMAR is to add these firms to their spreadsheet and you can include 2nd or 3rd tier subcontractors.)

<table>
<thead>
<tr>
<th>Firm Name</th>
<th>M/W/D/HV/SB</th>
<th>Contract Value</th>
<th>$ Anticipated Payroll</th>
</tr>
</thead>
<tbody>
<tr>
<td>Designation</td>
<td>List Small Business and/or Diversity Sub-contractors or Suppliers:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

☐ No Small Business or Diversity Sub-contractors or Suppliers have been hired

4. We certify that we abide by state and federal laws and that all employees on the project are legal US residents.

☐ Yes

☐ No (Explain why) __________________________________________

5. We certify that we have records documenting that we are using Arizona Residents to do the construction work on this project to the extent persons with the necessary and appropriate skills are reasonably available.

☐ Yes

☐ No

(if no, answer the following.) We are unable to hire Arizona Workers because INSERT YOUR REASONING

Name of Minor Sub-Contractor Firm

Print Name & Title (Must be Owner, Officer or Authorized Representative)

Signature

SAMPLE FOR REFERENCE ONLY