

**ARIZONA STATE UNIVERSITY - WEST  
 FY 2015 LEGISLATIVE BUDGET REQUEST  
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STATE OF ARIZONA  
BUDGET REQUEST

TRANSMITTAL STATEMENT

		FY 2015	
AGENCY	<u>ARIZONA STATE UNIVERSITY - WEST</u>	TOTAL AMOUNT REQUESTED	<u>110,549,200</u>
A.R.S. CITATION	<u>15-1601</u>	GENERAL FUND	<u>36,480,900</u>
		OTHER APPROPRIATED FUNDS	<u>39,380,300</u>
		FEDERAL FUNDS	<u>13,004,000</u>
		OTHER NON-APPROPRIATED FUNDS	<u>21,684,000</u>

GOVERNOR BREWER:

This and the accompanying budget schedules, statements and explanatory information constitute the operating budget estimates of this agency and the proposed expenditures for Fiscal Year 2015 in accordance with A.R.S. 35-113.

To the best of my knowledge all statements and explanations contained in the estimates submitted are true and correct.

Agency Head Michael Crow

Request Prepared by Lisa Frace

Title President

Phone (480) 727-8117

Signature 

DATE PREPARED: OCTOBER 1, 2013

TRANSMITTAL STATEMENT

Barrett, The Honors College  
 W. P. Carey School of Business  
 University College  
 Mary Lou Fulton Teachers College  
 Graduate College  
 ASU Online

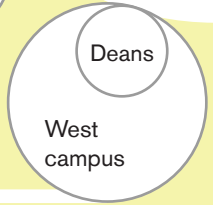
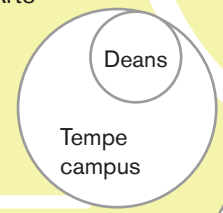
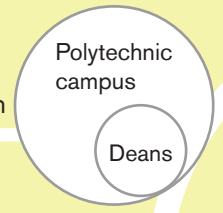
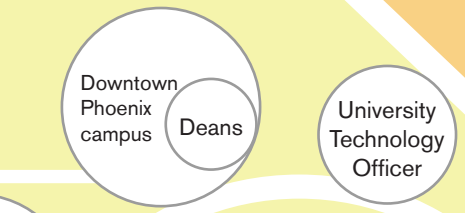
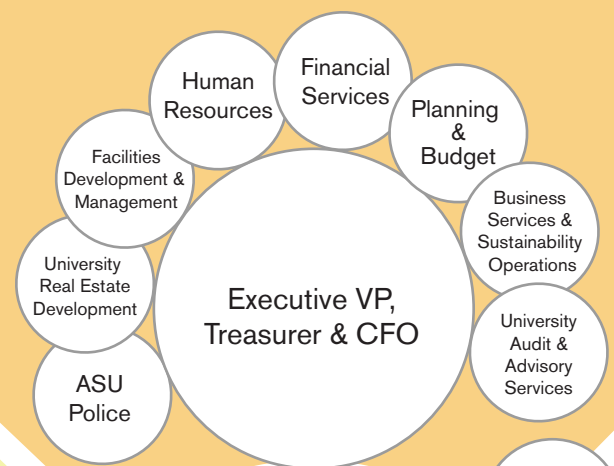
College of Health Solutions  
 College of Nursing and Healthcare Innovation  
 College of Public Programs  
 Walter Cronkite School of Journalism & Mass Communication

College of Technology and Innovation

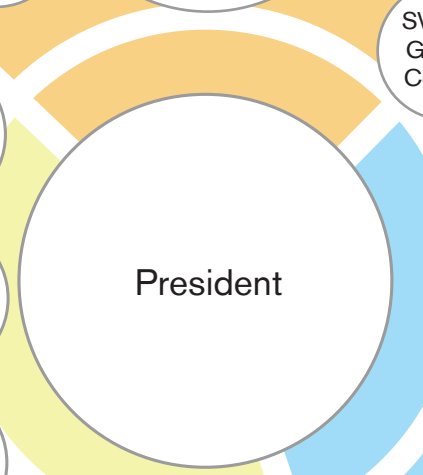
Herberger Institute of Design and the Arts  
 Ira A. Fulton Schools of Engineering  
 Sandra Day O'Connor College of Law  
 College of Liberal Arts and Sciences  
 School of Sustainability

New College of Interdisciplinary Arts and Sciences

## Business Affairs Cluster



## Academic Affairs Cluster



## University Affairs Cluster

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE ADMIN1  
 AGENCY SUMMARY OF ADMINISTRATIVE COSTS**

	CATEGORY	ACTUAL FY 2013	ESTIMATED FY 2014	CONTINUATION REQUESTS	STRATEGIC INVESTMENTS	TOTAL REQUEST FY 2015
	ADMINISTRATIVE COST FUNCTION					
	Executive Management	381.3	389.1			389.1
	Human Resources					0.0
	Information Technology					0.0
	Business and Finance					0.0
	Other Central Administration	875.5	844.5			844.5
	TOTAL EXPENDITURES BY COST FUNCTION	1,256.8	1,233.6	0.0	0.0	1,233.6
	Administrative Cost as a Percent of Expenditure Authority	1.75%	1.66%			1.63%
<b>Fund #</b>	FUND SOURCE DETAIL					
1000	State Operating Budget	1,256.8	1,233.6	0.0	0.0	1,233.6
	TOTAL EXPENDITURES BY LINE ITEM	1,256.8	1,233.6	0.0	0.0	1,233.6

DATE PREPARED: OCTOBER 1, 2013

ADMIN 1

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE ADMIN2  
 ADMINISTRATIVE COST MATRIX**

ACTIVITY	ADMINISTRATIVE COSTS	PROGRAM COSTS	DOA RELATED COSTS (1)
<b>EXECUTIVE MANAGEMENT</b>			
Administrative Affairs	X		
Public Affairs	X		
Provost ASU at the West campus	X		
<b>HUMAN RESOURCES</b>			
Human Resources Department	X		X
<b>INFORMATION TECHNOLOGY</b>			
<b>BUSINESS AND FINANCE</b>			
Auxiliary Services	X		X
Financial and Support Services	X		X
Planning and Budget	X		
<b>OTHER CENTRAL ADMINISTRATION</b>			
Facilities Development and Management	X		X
Institutional Support Services	X	(2)	(2)
Public Safety	X		X
Risk Management Insurance Premium		X	
Utilities	X		X
Lease Purchase Payment		X	

(1) Activities identified in this column reflect university functions that directly relate to services provided by the Department of Administration to state government. Amounts for these functions are not included on the "Agency Summary of Administrative Costs" form.

(2) Approximately 21% of these activities are program costs that do not have an administrative function and approximately 63% reflect university functions that directly relate to services provided by the Department of Administration to state government.

DATE PREPARED: OCTOBER 1, 2013

ADMIN 2

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE ADMIN3  
ADMINISTRATIVE COSTS ASSUMPTIONS AND METHODOLOGY**

- ∴ Arizona State University provided information on requested funding for administrative costs in accordance with A.R.S. 35-115. Schedule ADMIN1 includes our best estimate of administrative costs in the State Operating Budget. Since both the General Fund and State Collections support our State Operating Budget, we cannot identify individual administrative costs by fund source.
- ∴ Schedule ADMIN2 identifies subprograms in the Institutional Support program by the five major administrative functions: Executive Management, Human Resources, Information Technology, Business and Finance, and Other Central Administration.
  - ∴ The "Administrative Cost" column identifies the central administrative cost functions.
  - ∴ The second column identifies "Program Costs", consisting of units which do not have an administrative function. Included in this category is the Lease Purchase Payment and the Risk Management Insurance Premium since these are pass through funds which support program activities.
  - ∴ Finally, we identified the "DOA Related Costs." Activities in this column reflect the university functions that directly relate to the types of services provided by the Department of Administration (DOA) to other state government agencies. The universities do not receive the same set of services from DOA and therefore must maintain administrative units to provide comparable services at each campus.
- ∴ In order to facilitate comparisons across state agencies, we excluded the "DOA Related Costs" from Schedule ADMIN1.

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: ALL

**FY 2015 BUDGET JUSTIFICATION  
 EMPLOYEE RELATED EXPENDITURES (ERE) WORKSHEET**

ASU at the West Campus provides the following information to support the Employee Related Expenditure (ERE) rates used for FY 2015.

Faculty Positions (% of salary)	<b>28.80%</b>
Staff Positions (% of salary)	<b>37.40%</b>
Post Doctorate Positions (% of salary)	<b>25.30%</b>
Non-Benefits Eligible positions (% of salary)	<b>10.00%</b>
Graduate RA/TA positions (% of salary)	<b>7.70%</b>
Student Wages (% of salary)	<b>2.80%</b>

**ERE RATE FACTORS**

**SOURCE/JUSTIFICATION**

FICA:	Federal guidelines (7.65 Percent)
Workers Compensation:	OSPB Estimated Guidelines of 1.03 Percent
Unemployment Insurance:	OSPB Estimated Guidelines of .15 Percent
State Retirement/Defined Benefit:	Actual 2013-14 Arizona State Retirement System rate of 11.30 Percent
State Retirement/Defined Contribution:	Arizona State Retirement System rate of 7.00 Percent
Alternative Contribution Rate	Legislation passed in 2011 under Senate Bill 1609 mandates the ASRS to implement an Alternate Contribution Rate (ACR) to employers who hire ASRS retirees who return to work. The rate is to be remitted to the ASRS by the employer. The purpose of the legislation is to mitigate the potential actuarial impact that retired members who return to work might have on the trust fund. The rate is 9.2%.
Optional Retirement:	Rate is 7 percent of participants' salaries in accordance with A.R.S. 15-1628, which mandates "The Arizona Board of Regents shall contribute public funds appropriated or any other funds available for such purpose on behalf of each participant in the Optional Retirement Programs in an amount equal to seven percent of the participant's compensation."
DPS Retirement Rate:	Actual 2013-14 Public Safety Retirement rate of 27.43 Percent
LIFE INSURANCE Base \$15k:	\$23.40 Per FTE
LIFE INSURANCE to Salary:	Approximately 1.44/1000 of Salary less \$15,000
Disability Insurance/State Retirement:	Actual 7/1/13 rate of 0.25 Percent
Disability Insurance/Optional Retirement:	Actual 7/1/13 rate of 0.25 Percent
Health Insurance:	Actual 2013-14 premium for each type of coverage
Dental Insurance:	Actual 2013-14 premium for each type of coverage
FY 2013 Personal Services:	2013-14 Estimated Personal Services
Salaries \$113,700 and Above:	2013-14 salaries from salary budgeting file (FICA cap of \$113,700 for 2014)
Student Wages:	2013-14 Estimated student wages
Graduate Associates/Assistants:	2013-14 Estimated graduate associate/assistant wages
State Retirement (Defined Contribution):	2013-14 formula to estimate salaries of eligible employees for Defined Contribution Plan
Public Safety Retirement:	2013-14 budgeted salaries of eligible Public Safety positions
Optional Retirement:	2013-14 budgeted salaries based on the actual Optional Retirement salaries
State Retirement (Defined Benefit):	2013-14 budgeted salaries based on the actual State Retirement System salaries
Life Insurance Enrollment:	9/12 Peoplesoft extract
Health/Dental Insurance Enrollment:	9/12 Peoplesoft extract

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: ALL

**FY 2015 BUDGET JUSTIFICATION  
 ERE RATE CALCULATION - MARGINAL ERE RATE**

<b>PERSONAL SERVICES BASE</b>		FY 2014	
FICA:	FY 2014 Personal Services	\$33,694.6	A
	Less Salaries \$113,700 and above	(4,105.1)	B
	Personal Services less than \$113,700	\$29,589.5	C
BASIC BENEFITS:	FY 2014 Personal Services	\$33,694.6	
	Less: Other Non Eligible Positions	(1,609.7)	
	Student Wages	(375.3)	
	Graduate Assistant/Associate	(125.7)	
	Retiree Accumulated Sick Leave (Benefits Eligible Salary Base)	\$31,583.9	D
	Less: Public Safety Retirement	(560.1)	E
	Optional/State Retirement Base	\$31,023.8	
	Optional Retirement (50.98% of Base)	\$15,817.0	F
	Plus: Public Safety Retirement	560.1	
	Disability Insurance Base	\$16,377.1	G
<hr/>			
<b>RATES</b>		FTE	Personal Services
F.I.C.A.:	Number of FTE Positions \$113,700 and above	25.2	FY 2014
	Medicare Calculation on Personal Services Amount above FICA cap	4,105.1	B
	Personal Services less than \$113,700	29,589.5	C
	Less: Other Non Eligible Positions	(1,609.7)	
	Student Wages	(375.3)	
	Graduate Assistant/Associate	(125.7)	
	Personal Services less than \$113,700 - adjusted base	27,478.8	7.65%
	SUBTOTAL F.I.C.A.		\$2,339.4
BASIC BENEFITS:	Worker's Compensation Insurance	33,694.6	A 1.03%
	Retiree Accumulated Sick Leave (Benefits Eligible Salary Base)	31,583.9	D 0.40%
	Unemployment Insurance	33,193.6	0.15%
	State Retirement/Defined Benefit	242.1 14,542.5	11.30%
	State Retirement/Defined Contribution		7.00%
	Alternative Rate Contribution	21.3 664.3	9.20%
	Optional Retirement	156.2 15,817.0	F 7.00%
	Public Safety Retirement	8.4 560.1	E 27.43%
	Disability Insurance/State Retirement	14,542.5	0.24%
	Disability Insurance/Optional Retirement	16,377.1	G 0.25%
	SUBTOTAL BASIC BENEFITS		\$3,564.2
TOTAL MARGINAL ERE			\$5,903.6
DIVIDED BY FY 2014 PERSONAL SERVICES			\$33,694.6
<b>MARGINAL ERE RATE</b>			<b>17.52%</b>
		FTE	Amount
FTE/Personal Services Ineligible for Health Insurance (Student Wages \$\$ included in total)		28.6	2,110.7

DATE PREPARED: OCTOBER 1, 2013

BUDGET JUSTIFICATION



AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)

COST CENTER/PROGRAM NAME: ALL

ERE RATE CALCULATION - HEALTH/DENTAL/LIFE INSURANCE PREMIUM RATE

	Employee Only				Employee +1 Adult				Employee +1 Child				Family				Total
	Number Enrolled (State Funds)	Monthly Premium 7-1-13 to 12-31-13	Monthly Premium 1-1-14 to 6-30-14	Fiscal Yr Annual Premium	Number Enrolled (State Funds)	Monthly Premium 7-1-13 to 12-31-13	Monthly Premium 1-1-14 to 6-30-14	Fiscal Yr Annual Premium	Number Enrolled (State Funds)	Monthly Premium 7-1-13 to 12-31-13	Monthly Premium 1-1-14 to 6-30-14	Fiscal Yr Annual Premium	Number Enrolled (State Funds)	Monthly Premium 7-1-13 to 12-31-13	Monthly Premium 1-1-14 to 6-30-14	Annual Premium	FY14 Total Annual Premium
<b>HEALTH INSURANCE:</b>																	
EPO	129.5	550.0	550.0	854,706	71.5	1,133.0	1,133.0	972,111	34.4	1,078.0	1,078.0	444,999	128.3	1,405.0	1,405.0	2,163,135	4,434,952
PPO	10.0	741.0	741.0	88,920	1.0	1,506.0	1,506.0	18,072	2.0	1,447.0	1,447.0	34,728	4.0	1,929.0	1,929.0	92,592	234,312
HSA/HSO	4.4	545.0	563.0	29,251	1.0	1,093.0	1,130.0	13,338	-	1,060.0	1,097.0	-	1.0	1,348.0	1,385.0	16,398	58,987
Subtotal	143.9			972,877	73.5			1,003,521	36.4			479,727	133.3			2,272,126	\$4,728,252
<b>DENTAL INSURANCE:</b>																	
Delta Dental	126.7	5.0	5.0	7,541	62.3	9.9	9.9	7,416	22.4	9.9	9.9	2,666	95.9	13.7	13.7	15,766	33,390
Total Dental Admin	24.6	5.0	5.0	1,464	11.2	9.9	9.9	1,333	8.0	9.9	9.9	952	29.2	13.7	13.7	4,800	8,550
Subtotal	151.3			9,005	73.5			8,749	30.4			3,619	125.1			20,566	\$41,940

	Number	Annual	FY14 Total
<b>LIFE INSURANCE Base \$15k</b>	436.8	23.4	\$ 10,221
<b>LIFE INSURANCE to Salary</b>	436.8	64.0	\$ 27,959
Total Health, Dental, & Life Insurance			\$ 4,808,371
Divided by benefits eligible FTE's			436.80
<b>Average Health, Dental &amp; Life Insurance Premium per eligible FTE</b>			<b>\$ 11,008</b>

DATE PREPARED: OCTOBER 1, 2013



# ASU

## ARIZONA STATE UNIVERSITY



### FY 2015 State Investment Request

#### Highlights

Parity Funding  
Addresses inequity in funding per FTE student among the three universities; the third-year funding request totals \$25.1 million

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Performance Funding  
Requests funding for performance against metrics set by the Board of Regents and as required by statute. ASU's request is \$19.3 million.

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Arizona State University, with over 76,000 students enrolled on four campuses, is one of the largest, most dynamically growing, and diverse public institutions of higher education in the nation. ASU educates more than half of the students attending public universities in the State of Arizona, and employs 2,600 full-time faculty and 5,500 full-time staff in metropolitan Phoenix. ASU degree production has increased by 32 percent over the past five years, with 18,045 bachelors, masters, and doctoral degrees awarded during the 2011-12 academic year.

ASU continues to be recognized for excellence in many of its academic programs, both at the undergraduate and graduate levels. Recently, ASU was ranked among the elite 100 world universities by the Center for World University Ranking for 2013. ASU ranked 73rd overall, up from the 79th position last year, and 46th among U.S. universities. ASU ties Yale and Berkeley as the 5th highest producer of U.S. Fulbright Student Scholars, and the National Research Council ranks more than half of ASU's 85 doctoral programs in the Top 25 nationally. The Wall Street Journal asked corporate recruiters which schools produce the best-qualified candidates, and ASU ranked 5th in the country. These achievements reflect the continued commitment of the University administration, faculty and staff to enhance ASU's world-wide reputation through academic accomplishment.

For the past several years, ASU has been working collaboratively on tri-university initiatives with our sister institutions. These initiatives are central to the Arizona Board of Regents (ABOR) *Five-Year Strategic Plan*, and set ambitious performance targets for each university that focus on student attainment and research growth. They are intended both to increase the overall educational attainment for Arizona citizenry and to drive economic health by attracting new research funding and businesses to the state.

Investment in higher education yields significant returns to individual citizens and the economic well being of states. A high performing university presence is critical in driving regional and state competitiveness and economic performance. Universities have two primary outputs: a well-educated work force and innovative research programs and inventions. Each provides large public benefits, which emphasizes the importance of sustained investment in the university to support improved performance in producing these outputs.



### FY 2015 State Investment Request Summary

	(\$ thousands)
<b>Parity Funding</b>	\$ 25,139.3
<b>Performance Funding</b>	19,298.6
<b>Total Investment Request</b>	<b>\$ 44,437.9</b>



# ASU<sup>®</sup>

## ARIZONA STATE UNIVERSITY



Strong universities drive economic development in several ways. First, individuals with college degrees tend to command higher wages and earn more over their lifetimes. They also experience much lower unemployment rates. Recent studies have shown that 81 percent of resident undergraduates and 49 percent of nonresident undergraduates take jobs in Arizona, and more than half of those students are still in the state after twenty years. University graduates bolster regional competitiveness. There is a strong regional correlation between the level of per-capita personal income and both the percentage of adults with college degrees, and with the percentage of job openings requiring higher education. A better educated workforce tends to attract better jobs.

Research and the expansion of a knowledge economy is also a critical economic driver. Externally funded research brings incremental dollars to the state, which leads to higher purchases of goods and services and employment. The inventions and discoveries from this research provide an environment for fast-paced technological advancement that is critical to attracting companies who provide good jobs and a broader economic base for the state.

ASU has been increasing degree production and research activity in the last decade, and has challenging goals to meet as part of the *ABOR Five-Year Strategic Plan*. Incremental funding is imperative to support the expansion of enrollment and thus expanded degree production, as well as the infrastructure needed to support increased research activity.

The State Investment Request for FY 2015 includes requests for only those enterprise activities identified in the strategic plan. It focuses on the resources needed to continue to drive performance outcomes similar to those listed above and thereby enhance the state's economic performance. Funding will be used to enhance instruction, provide academic support, and attract top-name faculty to further accelerate knowledge and discovery and attainment of research goals. ASU continues to maintain the efficiencies gained through academic and organizational streamlining over the past decade. The funding requests are as detailed below:

### Parity Funding

Arizona public universities have traditionally been funded based on enrollment growth. However, State appropriations have not always been consistent with these enrollment growth trends. As a result, the Arizona Legislature has tackled the issue of university per-student funding on multiple occasions. In February of 1988, a budget study was done by the Arizona Board of Regents at the request of the legislature and the legislature made investments at ASU and NAU to address funding equity. In December of 2008, an ad hoc legislative committee appointed by the Speaker of the House of Representatives convened to evaluate the issue of per-student funding at the Arizona public universities, concluded that per-student funding among the three universities was not equitable, and recommended that the legislature implement a funding plan to address the funding inequity beginning in FY 2010. The issue was taken up again in the 2011 budget reconciliation bill for higher education (SB1618) requesting that ABOR and the universities recommend a method to address the issue of per-student funding disparities among the three public universities and to include a proposal in the FY 2013 university budget submittals. In August 2011, the Arizona Board of Regents adopted the "Arizona University System Enterprise: Disparity Study" as presented by the three university presidents. This report provided historical information on university funding and a plan to address per-student state funding inequities at ASU and NAU. In FY 2013 the Arizona Legislature adopted a proposal to address the per-student funding disparity within five years and approved an appropriation to ASU and NAU at 1/5 of the total amount recommended in the report. This budget was signed by the Governor and enacted. In FY 2014 the Legislature approved and Governor signed a budget which included another 1/5 of the total funding parity amount recommended in the Regent's 2011 report. Because the university system is moving rapidly into a performance-based funding strategy, it is critical to address the funding inequity immediately to support the achievement of the performance goals and create an equitable base from which to start. ASU requests that the remaining funding be appropriated beginning in FY 2015. Further, because the resolution of the funding inequity would be accelerated, the request has been discounted by 30%. The request for parity funding for FY 2015 totals \$25.1 million.

### Performance Funding

In 2011, ABOR unveiled a proposal for tying the distribution of new State funding to the performance of the universities on three measures that are critical to the growth and diversification of the Arizona economy. The three measures relate to degree production, student credit hour enrollment, and research expenditure growth, and are intended to support the achievement of the ambitious goals set by ABOR as part of its strategic plan. ASU continues to meet aggressive performance goals as set by ABOR, and for 2015, ASU's portion of the targeted performance funding totals \$19.3 million.

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: INSTRUCTION, ACADEMIC SUPPORT  
 FUND NAME & AFIS NUMBER: General Fund - 1000; Collections/Other - 1411

**FY 2015 DECISION PACKAGE  
 PERFORMANCE FUNDING**

**DESCRIPTION OF REQUEST**

In 2011, the Arizona Board of Regents (ABOR) unveiled a proposal for tying the distribution of new state funding to the performance of the universities on three measures that are critical to the growth and the diversification of the Arizona economy. The three measures relate to degree production, student credit hour completion, and research expenditure growth. By shifting the incentives for new funding from inputs at the universities (such as enrollment growth which utilized the 22:1 Enrollment Growth Formula) to outputs from the universities, ABOR is demonstrating a commitment to enhanced performance.

The Performance Funding request also acknowledges the legislative mandate that ABOR develop a performance funding model and that it include in the annual budget request a funding request based on the results of the model. Arizona Revised Statutes (15-1626 (J)) requires the incorporation of an Arizona Board of Regents approved performance funding model into the state budget process.

In addition to the performance elements included above, the funding model lends added weight to degrees related to the STEM fields (Science, Technology, Engineering and Mathematics), and other higher-value degrees that are in short supply or identified as essential to the State of Arizona's economic development strategy.

For FY 2015, ABOR proposes that a total of \$39,119,023 be appropriated for performance funding, and that it be distributed among the three universities according to their performance on these three measures in FY 2013. The ASU - West state investment request \$1,361,900.

**ALTERNATIVES:**

There is no alternative to an annual submission of a legislative funding request utilizing an ABOR approved performance funding model as mandated by A.R.S. 15-1626 (J).

**EFFECT ON UNIVERSITY IF DELAYED OR NOT FUNDED:**

Failure to fund this request will:

- Fail to fund the universities for their contributions to growing and diversifying the State's economy, risking the potential for slowed momentum
- Raise a question as to the state's commitment to increase university funding based on performance (outputs) as opposed to growing enrollments (inputs)
- Risk missing the academic and economic goals set for ASU

**STATUTORY REFERENCE:**

A.R.S. 15-1626 (J)

**Expenditures by Program:**

Program	Fund	FY 2015	
		FTE	Amount
Instruction	General Fund	6.00	885,600
Academic Support	General Fund	4.00	476,300
<b>TOTAL REQUEST</b>		<b>10.00</b>	<b>1,361,900</b>

**Expenditures by Line Item:**

	FY 2015	
	FTE	Amount
<b>FTE</b> .....	<b>10.00</b>	
<b>Personal Services</b> .....		<b>720,000</b>
<b>ERE</b> .....		<b>269,400</b>
<b>All Other Operating:</b>		
Professional and Outside Services.....		52,500
Travel In State.....		0
Travel Out of State.....		0
Other Operating Expenditures.....		118,000
Library Acquisitions.....		0
Equipment.....		202,000
<b>Subtotal All Other Operating</b> .....		<b>372,500</b>
<b>TOTAL REQUEST</b>	<b>10.00</b>	<b>1,361,900</b>

**FTE Position Detail**

	FY 2015	
	FTE	Amount
Instruction Program Professional Staff	6.00	432,000
Academic Support Professional Staff	4.00	288,000
<b>Total</b>	<b>10.00</b>	<b>720,000</b>

DATE PREPARED: OCTOBER 1, 2013

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**AGENCY KEY MEASURES**

GOAL NUMBER	PERFORMANCE MEASURES	TYPE	ACTUAL FY 2013	ESTIMATED FY 2014	ESTIMATED FY 2015
1*	Percent of graduating seniors who rate their overall university experience as good or excellent	QL	88	89	89
1	Percent of full-time undergraduate students enrolled per semester in three or more primary courses with ranked faculty	QL	74	75	76
3	Number of Bachelors degrees granted	OP	1,090	1,128	1,168
3	Number of Master's degrees granted	OP	312	320	328
1	Dollar value of sponsored project proposals submitted (in millions)	OC	64.9	77.5	85.6
1	Dollar value of total research expenditures (in millions) (FY13 = Estimate)	OC	7.6	7.8	8.3
1	Students receiving health care at the Campus Health Service	OP	1,621	1,686	1,753
2	Number of registered campus clubs and organizations	OP	48	55	60
2	Organizations recruiting on campus	OP	199	209	220
1	Percent of agency staff turnover (classified staff only)	QL	15.0	14.3	13.5
1	Administration as a percentage of total cost	EF	1.75%	1.66%	1.63%

DATE PREPARED: October 1, 2013

SCHEDULE 4A

\*FY13 FIGURE REFLECTS CHANGES TO THE SURVEY METRIC

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B  
 COMBINED FUNDS SUMMARY - SOURCE AND DISPOSITION OF FUNDS**

<b>FUNDS AVAILABLE</b>	ACTUAL FY 2013	ESTIMATED FY 2014	ESTIMATED FY 2015
Balance Forward	27,603.1	27,137.3	25,338.9
Receipts	54,216.7	55,917.8	57,350.0
<b>TOTAL FUNDS AVAILABLE</b>	<b>81,819.8</b>	<b>83,055.1</b>	<b>82,688.9</b>
<b>DISPOSITION OF FUNDS</b>			
FTE Positions	135.83	176.64	181.46
Personal Services	7,660.4	9,965.5	10,231.0
ERE	2,271.7	3,988.4	4,087.0
All Other Operating Expenditures:			
Professional and Outside Services	1,425.2	1,845.4	1,892.0
Travel - In State	132.2	148.9	153.0
Travel - Out of State	370.0	396.9	404.0
Aid to Organizations and Individuals	6,873.8	9,071.5	9,368.0
Other Operating Expenditures	2,704.7	7,657.3	7,855.0
Library Acquisitions	36.6	37.3	38.0
Capital	548.5	645.2	660.0
All Other Operating Subtotal	12,091.0	19,802.5	20,370.0
<b>TOTAL FUNDS EXPENDED</b>	<b>22,023.1</b>	<b>33,756.4</b>	<b>34,688.0</b>
Net Transfers Out	32,659.4	23,959.8	28,031.0
<b>TOTAL DISPOSITION OF FUNDS</b>	<b>54,682.5</b>	<b>57,716.2</b>	<b>62,719.0</b>
BALANCE FORWARD TO NEXT YEAR	27,137.3	25,338.9	19,969.9
<b>Operating and Non Operating Expenditure Detail:</b>			
Non Operating (Loan and Endowment)	55.1		
Operating:			
Operating - Federal	11,409.5	12,587.5	13,004.0
Operating - Other Non Appropriated	10,558.5	21,168.9	21,684.0
Total Operating Expenditures	21,968.0	33,756.4	34,688.0
Total Funds Expended	22,023.1	33,756.4	34,688.0

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B  
 LOCAL FUNDS SUMMARY - SOURCE AND DISPOSITION OF FUNDS**

<b><u>FUNDS AVAILABLE</u></b>	ACTUAL FY 2013	ESTIMATED FY 2014	ESTIMATED FY 2015
Balance Forward	24,699.4	23,690.2	21,891.8
Receipts	40,671.9	41,786.8	42,703.0
<b>TOTAL FUNDS AVAILABLE</b>	<b>65,371.3</b>	<b>65,477.0</b>	<b>64,594.8</b>
<b><u>DISPOSITION OF FUNDS</u></b>			
FTE Positions	82.03	125.94	129.03
Personal Services	4,626.7	7,103.2	7,274.0
ERE	1,399.0	3,165.0	3,235.0
All Other Operating Expenditures:			
Professional and Outside Services	878.8	1,171.7	1,195.0
Travel - In State	76.3	92.0	95.0
Travel - Out of State	279.0	296.4	303.0
Aid to Organizations and Individuals	184.0	1,173.7	1,208.0
Other Operating Expenditures	2,565.4	7,520.9	7,714.0
Library Acquisitions	36.6	37.3	38.0
Capital	512.7	608.7	622.0
All Other Operating Subtotal	4,532.8	10,900.7	11,175.0
<b>TOTAL FUNDS EXPENDED</b>	<b>10,558.5</b>	<b>21,168.9</b>	<b>21,684.0</b>
Net Transfers Out	31,122.6	22,416.3	26,388.0
<b>TOTAL DISPOSITION OF FUNDS</b>	<b>41,681.1</b>	<b>43,585.2</b>	<b>48,072.0</b>
BALANCE FORWARD TO NEXT YEAR	23,690.2	21,891.8	16,522.8

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B  
 DESIGNATED (EXCLUDING INDIRECT COST RECOVERY) - SOURCE AND DISPOSITION OF FUNDS**

<b>FUNDS AVAILABLE</b>	<b>ACTUAL FY 2013</b>	<b>ESTIMATED FY 2014</b>	<b>ESTIMATED FY 2015</b>
Balance Forward	19,517.0	19,585.7	18,640.4
Receipts	35,998.4	35,348.9	36,058.0
<b>TOTAL FUNDS AVAILABLE</b>	<b>55,515.4</b>	<b>54,934.6</b>	<b>54,698.4</b>
<b>DISPOSITION OF FUNDS</b>			
FTE Positions	80.96	87.00	88.74
Personal Services	4,566.1	4,906.7	5,005.0
ERE	1,392.8	2,416.9	2,463.0
All Other Operating Expenditures:			
Professional and Outside Services	853.9	871.1	885.0
Travel - In State	76.2	77.7	81.0
Travel - Out of State	279.0	284.4	291.0
Aid to Organizations and Individuals	184.0	371.0	378.0
Other Operating Expenditures	2,467.3	4,472.7	4,564.0
Library Acquisitions	36.6	37.3	38.0
Capital	469.0	478.2	488.0
All Other Operating Subtotal	4,366.0	6,592.4	6,725.0
<b>TOTAL FUNDS EXPENDED</b>	<b>10,324.9</b>	<b>13,916.0</b>	<b>14,193.0</b>
Net Transfers Out	25,604.8	22,378.2	26,341.0
<b>TOTAL DISPOSITION OF FUNDS</b>	<b>35,929.7</b>	<b>36,294.2</b>	<b>40,534.0</b>
BALANCE FORWARD TO NEXT YEAR	19,585.7	18,640.4	14,164.4

**Source of Revenue:**

Tuition and fees retained by the University, summer session fees, administrative costs of student aid and unrestricted gifts. Resources in this fund are designated for specific purposes.

**Purpose of Fund:**

To account for transactions related to academic year tuition and fees retained by the University, the summer session program, the cost of administering student aid and the use of unrestricted gifts. Indirect cost recovery, part of the designated fund group, is presented separately.



AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B  
 DESIGNATED INDIRECT COST RECOVERY (NON FEDERAL) - SOURCE AND DISPOSITION OF FUNDS**

<b>FUNDS AVAILABLE</b>	ACTUAL FY 2013	ESTIMATED FY 2014	ESTIMATED FY 2015
Balance Forward			
Receipts	158.1	158.8	169.0
<b>TOTAL FUNDS AVAILABLE</b>	<b>158.1</b>	<b>158.8</b>	<b>169.0</b>
<b>DISPOSITION OF FUNDS</b>			
FTE Positions			
Personal Services			
ERE			
All Other Operating Expenditures:			
Professional and Outside Services			
Travel - In State			
Travel - Out of State			
Aid to Organizations and Individuals			
Other Operating Expenditures			
Library Acquisitions			
Capital			
All Other Operating Subtotal			
<b>TOTAL FUNDS EXPENDED</b>			
Net Transfers Out	158.1	158.8	169.0
<b>TOTAL DISPOSITION OF FUNDS</b>	<b>158.1</b>	<b>158.8</b>	<b>169.0</b>
BALANCE FORWARD TO NEXT YEAR			

**Source of Revenue:**

Indirect costs recovered from nonfederal sponsored research programs.

**Purpose of Fund:**

To account for transactions designated for various activities that assist or promote sponsored research at the University.

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B  
 AUXILIARY FUNDS - SOURCE AND DISPOSITION OF FUNDS**

<b>FUNDS AVAILABLE</b>	ACTUAL FY 2013	ESTIMATED FY 2014	ESTIMATED FY 2015
Balance Forward	547.4	604.4	880.1
Receipts	178.3	421.5	424.0
<b>TOTAL FUNDS AVAILABLE</b>	<b>725.7</b>	<b>1,025.9</b>	<b>1,304.1</b>
<b>DISPOSITION OF FUNDS</b>			
FTE Positions	1.07	1.17	1.19
Personal Services	60.6	66.0	67.0
ERE	6.2	1.9	2.0
All Other Operating Expenditures:			
Professional and Outside Services	24.9	38.3	39.0
Travel - In State	0.1	2.2	2.0
Travel - Out of State		4.0	4.0
Aid to Organizations and Individuals			
Other Operating Expenditures	98.1	107.7	112.0
Library Acquisitions			
Capital	43.7	44.6	45.0
All Other Operating Subtotal	166.8	196.8	202.0
<b>TOTAL FUNDS EXPENDED</b>	<b>233.6</b>	<b>264.7</b>	<b>271.0</b>
Net Transfers Out	(112.3)	(118.9)	(120.0)
<b>TOTAL DISPOSITION OF FUNDS</b>	<b>121.3</b>	<b>145.8</b>	<b>151.0</b>
BALANCE FORWARD TO NEXT YEAR	604.4	880.1	1,153.1

**Source of Revenue:**

Primarily sales and services from substantially self supporting activities.

**Purpose of Fund:**

To account for transactions of substantially self supporting activities that provide services for students, faculty and staff. Auxiliary enterprises include, but are not limited to, residence halls and intercollegiate athletics.

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B  
 RESTRICTED NONFEDERAL FUNDS - SOURCE AND DISPOSITION OF FUNDS**

<b>FUNDS AVAILABLE</b>	ACTUAL FY 2013	ESTIMATED FY 2014	ESTIMATED FY 2015
Balance Forward	4,635.0	3,500.1	2,371.3
Receipts	4,337.1	5,857.6	6,052.0
<b>TOTAL FUNDS AVAILABLE</b>	<b>8,972.1</b>	<b>9,357.7</b>	<b>8,423.3</b>
<b>DISPOSITION OF FUNDS</b>			
FTE Positions		37.77	39.10
Personal Services		2,130.5	2,202.0
ERE		746.2	770.0
All Other Operating Expenditures:			
Professional and Outside Services		262.3	271.0
Travel - In State		12.1	12.0
Travel - Out of State		8.0	8.0
Aid to Organizations and Individuals		802.7	830.0
Other Operating Expenditures		2,940.5	3,038.0
Library Acquisitions			
Capital		85.9	89.0
All Other Operating Subtotal		4,111.5	4,248.0
<b>TOTAL FUNDS EXPENDED</b>		<b>6,988.2</b>	<b>7,220.0</b>
Net Transfers Out	5,472.0	(1.8)	(2.0)
<b>TOTAL DISPOSITION OF FUNDS</b>	<b>5,472.0</b>	<b>6,986.4</b>	<b>7,218.0</b>
BALANCE FORWARD TO NEXT YEAR	3,500.1	2,371.3	1,205.3

**Source of Revenue:**

Grants and contracts from private and nonfederal agencies and student fees assessed for the Financial Aid Trust Fund.

**Purpose of Fund:**

To account for current funds expended for operating purposes but restricted by donors or nonfederal agencies.

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B  
 FEDERAL FUNDS SUMMARY - SOURCE AND DISPOSITION OF FUNDS**

<b>FUNDS AVAILABLE</b>	ACTUAL FY 2013	ESTIMATED FY 2014	ESTIMATED FY 2015
Balance Forward			
Receipts	12,946.3	14,131.0	14,647.0
<b>TOTAL FUNDS AVAILABLE</b>	<b>12,946.3</b>	<b>14,131.0</b>	<b>14,647.0</b>
<b>DISPOSITION OF FUNDS</b>			
FTE Positions	53.80	50.70	52.43
Personal Services	3,033.7	2,862.3	2,957.0
ERE	872.7	823.4	852.0
All Other Operating Expenditures:			
Professional and Outside Services	491.3	673.7	697.0
Travel - In State	55.9	56.9	58.0
Travel - Out of State	91.0	100.5	101.0
Aid to Organizations and Individuals	6,689.8	7,897.8	8,160.0
Other Operating Expenditures	139.3	136.4	141.0
Library Acquisitions			
Capital	35.8	36.5	38.0
All Other Operating Subtotal	7,503.1	8,901.8	9,195.0
<b>TOTAL FUNDS EXPENDED</b>	<b>11,409.5</b>	<b>12,587.5</b>	<b>13,004.0</b>
Net Transfers Out	1,536.8	1,543.5	1,643.0
<b>TOTAL DISPOSITION OF FUNDS</b>	<b>12,946.3</b>	<b>14,131.0</b>	<b>14,647.0</b>
BALANCE FORWARD TO NEXT YEAR			

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B  
 DESIGNATED INDIRECT COST RECOVERY (FEDERAL) - SOURCE AND DISPOSITION OF FUNDS**

<b>FUNDS AVAILABLE</b>	ACTUAL FY 2013	ESTIMATED FY 2014	ESTIMATED FY 2015
Balance Forward			
Receipts	1,524.5	1,531.9	1,631.0
<b>TOTAL FUNDS AVAILABLE</b>	<b>1,524.5</b>	<b>1,531.9</b>	<b>1,631.0</b>
<b>DISPOSITION OF FUNDS</b>			
FTE Positions			
Personal Services			
ERE			
All Other Operating Expenditures:			
Professional and Outside Services			
Travel - In State			
Travel - Out of State			
Aid to Organizations and Individuals			
Other Operating Expenditures			
Library Acquisitions			
Capital			
All Other Operating Subtotal			
<b>TOTAL FUNDS EXPENDED</b>			
Net Transfers Out	1,524.5	1,531.9	1,631.0
<b>TOTAL DISPOSITION OF FUNDS</b>	<b>1,524.5</b>	<b>1,531.9</b>	<b>1,631.0</b>
BALANCE FORWARD TO NEXT YEAR			

**Source of Revenue:**

Indirect costs recovered from sponsored federal research programs.

**Purpose of Fund:**

To account for transactions designated for various activities that assist or promote sponsored research at the University.

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B  
 RESTRICTED FEDERAL - SOURCE AND DISPOSITION OF FUNDS**

<b>FUNDS AVAILABLE</b>	ACTUAL FY 2013	ESTIMATED FY 2014	ESTIMATED FY 2015
Balance Forward			
Receipts	11,421.8	12,599.1	13,016.0
<b>TOTAL FUNDS AVAILABLE</b>	<b>11,421.8</b>	<b>12,599.1</b>	<b>13,016.0</b>
<b>DISPOSITION OF FUNDS</b>			
FTE Positions	53.80	50.70	52.43
Personal Services	3,033.7	2,862.3	2,957.0
ERE	872.7	823.4	852.0
All Other Operating Expenditures:			
Professional and Outside Services	491.3	673.7	697.0
Travel - In State	55.9	56.9	58.0
Travel - Out of State	91.0	100.5	101.0
Aid to Organizations and Individuals	6,689.8	7,897.8	8,160.0
Other Operating Expenditures	139.3	136.4	141.0
Library Acquisitions			
Capital	35.8	36.5	38.0
All Other Operating Subtotal	7,503.1	8,901.8	9,195.0
<b>TOTAL FUNDS EXPENDED</b>	<b>11,409.5</b>	<b>12,587.5</b>	<b>13,004.0</b>
Net Transfers Out	12.3	11.6	12.0
<b>TOTAL DISPOSITION OF FUNDS</b>	<b>11,421.8</b>	<b>12,599.1</b>	<b>13,016.0</b>
BALANCE FORWARD TO NEXT YEAR			

**Source of Revenue:**

Grants and contracts from the federal government.

**Purpose of Fund:**

To account for current operating funds restricted in use by the federal government. Revenue and operating expenditures exclude indirect cost recovery.

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B  
 LOAN FUNDS - SOURCE AND DISPOSITION OF FUNDS**

<b>FUNDS AVAILABLE</b>	ACTUAL FY 2013	ESTIMATED FY 2014	ESTIMATED FY 2015
Balance Forward	4.3	4.3	4.3
Receipts			
<b>TOTAL FUNDS AVAILABLE</b>	<b>4.3</b>	<b>4.3</b>	<b>4.3</b>
<b>DISPOSITION OF FUNDS</b>			
FTE Positions			
Personal Services			
ERE			
All Other Operating Expenditures:			
Professional and Outside Services			
Travel - In State			
Travel - Out of State			
Aid to Organizations and Individuals			
Other Operating Expenditures			
Library Acquisitions			
Capital			
All Other Operating Subtotal			
<b>TOTAL FUNDS EXPENDED</b>			
Net Transfers Out			
<b>TOTAL DISPOSITION OF FUNDS</b>			
BALANCE FORWARD TO NEXT YEAR	4.3	4.3	4.3

**Source of Revenue:**

Primarily interest on student loans for programs funded by the federal government.

**Purpose of Fund:**

To account for loans to students. Provisions of the federal loan program stipulate that:

- z The University match one third of the capital contribution; and
- z A portion of the loan principal and interest, ranging from 15 to 30 percent per year, can be canceled and absorbed by the federal government if the recipient completes certain employment requirements.

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B  
 ENDOWMENT AND LIFE INCOME FUNDS - SOURCE AND DISPOSITION OF FUNDS**

<b>FUNDS AVAILABLE</b>	ACTUAL FY 2013	ESTIMATED FY 2014	ESTIMATED FY 2015
Balance Forward	2,899.4	3,442.8	3,442.8
Receipts	598.5		
<b>TOTAL FUNDS AVAILABLE</b>	<b>3,497.9</b>	<b>3,442.8</b>	<b>3,442.8</b>
<b>DISPOSITION OF FUNDS</b>			
FTE Positions			
Personal Services			
ERE			
All Other Operating Expenditures:			
Professional and Outside Services	55.1		
Travel - In State			
Travel - Out of State			
Aid to Organizations and Individuals			
Other Operating Expenditures			
Library Acquisitions			
Capital			
All Other Operating Subtotal	55.1		
<b>TOTAL FUNDS EXPENDED</b>	<b>55.1</b>		
Net Transfers Out			
<b>TOTAL DISPOSITION OF FUNDS</b>	<b>55.1</b>		
BALANCE FORWARD TO NEXT YEAR	3,442.8	3,442.8	3,442.8

**Source of Revenue:**

Gifts, investment income and financial aid trust fund fees assessed to students.

**Purpose of Fund:**

Permanent endowment funds are subject to the restrictions of donor gift instruments requiring the principal be invested in perpetuity and that only the income be used for current operations. Term endowments provide that all or part of the principal may be expended after a stated period of time. Quasi endowments are established by the University for the same purposes as endowment funds. Life income funds are used to account for cash or other property contributed to the University subject to the requirement that the University periodically pay the income earned on such assets to designated beneficiaries.



AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: WEST CAMPUS

SCHEDULE 1D-1  
 STATE COLLECTIONS REVENUE

	FY 2011 Actual	FY 2012 Actual	FY 2013 Actual	FY 2014 Budget	FY 2015 Estimate
<b>TUITION REVENUE BY CATEGORY</b>					
Non Resident Undergraduates	10,412.0	12,393.9	16,775.6	15,541.4	21,907.0
Non Resident Graduates	6,623.9	4,655.5	4,611.9	4,840.4	7,428.2
Resident Undergraduates	30,622.0	33,857.7	33,771.5	36,905.0	32,684.9
Resident Graduates	5,579.3	6,055.0	4,459.6	5,484.8	4,267.9
Tuition Economic Recovery Surcharge					
Nonresident					
Resident					
Excess Hours	3.9	3.0	3.5	4.0	4.0
<b>Total Tuition</b>	<b>53,241.1</b>	<b>56,965.1</b>	<b>59,622.1</b>	<b>62,775.6</b>	<b>66,292.0</b>
Local Collections from Tuition	(24,394.8)	(25,141.0)	(23,089.0)	(23,865.8)	(27,382.2)
Tuition Revenue to Support the State Operating Budget	28,846.3	31,824.1	36,533.1	38,909.8	38,909.8
Special Program Fees/Differential Tuition					
Program Fee/Differential Tuition Revenue	0.4	0.0	0.0	0.0	0.0
Local Collections from Program Fees/Diff Tuition	0.0	0.0	0.0	0.0	0.0
Program Fee Revenue to Support the State Operating Budget	0.4	0.0	0.0	0.0	0.0
<b>OTHER REVENUE/RECEIPTS</b>					
Summer Session Fees	553.1	454.7	455.3	470.5	470.5
Miscellaneous Receipts	0.0	0.0	0.0	0.0	0.0
Student Technology Fee	0.0	0.0	0.0	0.0	0.0
Student Technology Fee Financial Aid Set Aside	0.0	0.0	0.0	0.0	0.0
Other Revenue/Receipts to Support the State Operating Budget	553.1	454.7	455.3	470.5	470.5
Total State Collections to Support the Operating Budget	29,399.8	32,278.8	36,988.4	39,380.3	39,380.3

DATE PREPARED: OCTOBER 1, 2013

SCHEDULE 1D-1

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: WEST CAMPUS

SCHEDULE 1D-2  
 STUDENT FTE AND FPE

	FY 2011 Actual Total	FY 2012 Actual Total	FY 2013 Actual Total	FY 2014 Budget Total	FY 2015 Estimate Total
<b>Fall Semester</b>					
Non Resident Undergraduates	588	703	952	1,068	1,511
Non Resident Graduates	444	430	463	438	622
Resident Undergraduates	4,324	4,067	4,037	4,155	3,911
Resident Graduates	817	717	750	666	847
Total Fall Semester	6,173	5,917	6,202	6,327	6,891
<b>Spring Semester</b>					
Non Resident Undergraduates	734	807	1,122	1,022	1,471
Non Resident Graduates	493	453	372	427	620
Resident Undergraduates	4,686	3,886	3,925	3,885	3,678
Resident Graduates	752	736	556	636	827
Total Spring Semester	6,665	5,882	5,975	5,970	6,596
Spring as a percent to Fall	108.0%	99.4%	96.3%	94.4%	95.7%
<b>Academic Year Average</b>					
Non Resident Undergraduates	661	755	1,037	1,045	1,491
Non Resident Graduates	469	442	418	433	621
Resident Undergraduates	4,505	3,977	3,981	4,020	3,795
Resident Graduates	785	727	653	651	837
Total Academic Year Avg	6,419	5,900	6,089	6,149	6,744

Full Pay Equivalent Students Academic Year

Non Resident Undergraduates	595	691	1,000	856	1,219
As a Percent of NR UG FTE	90.1%	91.5%	96.4%	81.9%	81.8%
Non Resident Graduates	405	427	357	465	619
As a Percent of NR G FTE	86.5%	96.6%	85.6%	107.5%	99.7%
Resident Undergraduates	4,209	3,852	3,765	3,987	3,529
As a Percent of R UG FTE	93.4%	96.9%	94.6%	99.2%	93.0%
Resident Graduates	660	615	456	557	716
As a Percent of R G FTE	84.1%	84.7%	69.9%	85.6%	85.5%

DATE PREPARED: OCTOBER 1, 2013

SCHEDULE 1D-2

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: WEST CAMPUS

**SCHEDULE 1D-3  
 TUITION AND MANDATORY FEES**

	ACTUAL 2010-11	ACTUAL 2011-12	ACTUAL 2012-13	BUDGET 2013-14	ESTIMATE 2014-15
<b>ACADEMIC YEAR TUITION:</b>					
RESIDENT AND NON RESIDENT TUITION RATES					
Academic Year Nonresident Undergraduate Tuition	20,257	21,807	22,461	23,135	23,135
AY NR Tech Innovation/New College Majors Sophmore/Freshmen		19,628	20,215	20,822	20,822
Academic Year Nonresident Graduate Tuition	22,058	23,833	24,548	25,284	25,284
Academic Year Resident Undergraduate Tuition					
Cohort Fall Continuing 4	6,373	7,571	8,228	8,819	9,304
Cohort Fall Continuing 3	6,373	8,228	8,562	9,304	9,484
Cohort Fall Continuing 2	6,988	8,562	9,033	9,484	9,484
Cohort Fall Continuing 1	7,322	9,033	9,208	9,484	9,484
Cohort Fall New (incl commitment term expired and Part Time)	7,793	9,208	9,208	9,484	9,484
Tech Innovation/New College Majors - Sophmore Cohort 1					
		8,130	8,287	8,536	8,536
Tech Innovation/New College Majors - Sophmore/Freshmen New					
		8,287	8,287	8,536	8,536
Academic Year Resident Graduate Tuition	8,509	9,709	10,000	10,300	10,300
Academic Year Tuition Surcharge					
Nonresident					
Resident					
Colleges @ ASU					
Nonresident					
			9,000	9,270	9,270
Resident					
			6,000	6,180	6,180
Eastern Arizona (Res and Non Res pay same amount)					
			5,550	5,716	5,716
Online Undergraduate Rate (11 Hrs) (Non Resident)					
Online Graduate Rate (11 Hrs) (Non Resident)					
Online Undergraduate Rate (9 Hrs per Semester starting FY 11)	5,850	7,650	7,956	8,280	8,280
Online Graduate Rate (9 Hrs per Semester starting FY 11)	6,390	8,010	8,330	8,676	8,676
<b>ACADEMIC YEAR MANDATORY FEES (Full Time):</b>					
Student Financial Aid Trust Fund	56	77	82	89	89
Student Recreation Center	50	50	50	50	50
Student Facility Fee	50	150	150	150	150
Student Program Fee		50	50	50	50
Health and Wellness Fee	80	80	80	80	80
Technology Fee	100	100	100	100	100

DATE PREPARED: OCTOBER 1, 2013

SCHEDULE 1D-3

**SCHEDULE 1D-4  
 LOCAL COLLECTIONS RETENTION**

LOCAL COLLECTIONS RETENTION	BUDGET 2012-13	INCREASE/ (DECREASE)	ESTIMATE 2013-14	INCREASE/ (DECREASE)	ESTIMATE 2014-15
<b>LOCAL COLLECTIONS FROM TUITION</b>					
<b>OPERATING FUNDS</b>					
<u>DESIGNATED</u>					
Academic Affairs.....	5,200		5,200		5,200
AECP - International Teaching Assistants.....	10,000		10,000		10,000
Associated Students-ASU.....	98,300		98,300		98,300
Campus Environment Team.....	4,800		4,800		4,800
Child and Family Services.....	7,200		7,200		7,200
Commencement.....	15,000		15,000		15,000
Constituent Advocacy.....	14,500		14,500		14,500
Distance Learning Technology.....	111,000		111,000		111,000
Environmental Health & Safety.....	21,300		21,300		21,300
Federal Direct Loan Administration.....	16,500		16,500		16,500
Fine Arts Program.....	60,000		60,000		60,000
Graduate Support Program.....	51,400		51,400		51,400
Honors College.....	3,000		3,000		3,000
Library Support.....	35,700		35,700		35,700
Online Partnership/Management Payments.....	3,132,500		3,132,500		3,132,500
Online Program Allocation for Direct Expenses.....	1,625,700		1,625,700		1,625,700
Special Events.....	20,000		20,000		20,000
Student Affairs Initiatives.....	26,200		26,200		26,200
Student Financial Assistance Administration.....	40,100		40,100		40,100
Student Forum.....	45,000		45,000		45,000
Student Government.....	20,000		20,000		20,000
Student Recreation/Intramurals.....		765,700	765,700		765,700
University Minority Cultural Program.....	7,100		7,100		7,100
University Recycling Program.....	9,700		9,700		9,700
RA/TA Benefit Costs.....	409,700	117,100	526,800		526,800
Employee Benefit Adjustments/Contingencies.....	19,400		19,400		19,400
<b>SUBTOTAL</b>	<b>5,809,300</b>	<b>882,800</b>	<b>6,692,100</b>	<b>-</b>	<b>6,692,100</b>
<u>AUXILIARY</u>					
Sun Devil Athletics.....	225,800		225,800		225,800
<b>SUBTOTAL</b>	<b>225,800</b>	<b>-</b>	<b>225,800</b>	<b>-</b>	<b>225,800</b>
<b>OPERATING FUNDS SUBTOTAL</b>	<b>6,035,100</b>	<b>882,800</b>	<b>6,917,900</b>	<b>-</b>	<b>6,917,900</b>
<u>FINANCIAL AID</u>					
Regents Financial Aid Set Aside.....	9,363,400	(106,000)	9,257,400	249,400	9,506,800
Other Financial Aid - Institutional Financial Aid.....	6,257,400		6,257,400	3,267,000	9,524,400
Other Financial Aid - CRESMET/CONACYT/NEEP.....	35,200		35,200		35,200
Teach for America Scholarships.....	100,000		100,000		100,000
Other Financial Aid - Graduate Scholars Program.....	70,200		70,200		70,200
Graduate Fellowship Program.....	210,700		210,700		210,700
CONACYT Fellowship Program.....	17,000		17,000		17,000
<b>SUBTOTAL</b>	<b>16,053,900</b>	<b>(106,000)</b>	<b>15,947,900</b>	<b>3,516,400</b>	<b>19,464,300</b>

**SCHEDULE 1D-4  
 LOCAL COLLECTIONS RETENTION**

<b>LOCAL COLLECTIONS RETENTION</b>	<b>BUDGET 2012-13</b>	<b>INCREASE/ (DECREASE)</b>	<b>ESTIMATE 2013-14</b>	<b>INCREASE/ (DECREASE)</b>	<b>ESTIMATE 2014-15</b>
MINOR CAPITAL PROJECTS	1,000,000		1,000,000		1,000,000
ENERGY MANAGEMENT CONTRACT			-		-
DEBT SERVICE	-	-	-	-	-
<b>TOTAL LOCAL RETENTION FROM TUITION</b>	<b>23,089,000</b>	<b>776,800</b>	<b>23,865,800</b>	<b>3,516,400</b>	<b>27,382,200</b>
<b>LOCAL COLLECTIONS FROM PROGRAM FEES</b>					
OPERATING FUNDS					
DESIGNATED					
FINANCIAL AID					
SUBTOTAL	-	-	-	-	-
<b>TOTAL LOCAL RETENTION FROM PROGRAM FEES</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL LOCAL COLLECTIONS</b>	<b>23,089,000</b>	<b>776,800</b>	<b>23,865,800</b>	<b>3,516,400</b>	<b>27,382,200</b>

DATE PREPARED: OCTOBER 1, 2013

SCHEDULE 1D-4

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 3  
 AGENCY SUMMARY OF EXPENDITURES & BUDGET REQUEST**

AFIS OBJ CODE	COST CENTER/PROGRAM RESOURCE ALLOCATION	ACTUAL FY 2013	ESTIMATED FY 2014	CONTINUATION REQUESTS	STRATEGIC INVESTMENTS	TOTAL REQUEST FY 2015
	Instruction	29,172.6	30,827.8	885.6		31,713.4
	Organized Research					
	Public Service					
	Academic Support	16,702.5	17,650.8	476.3		18,127.1
	Student Services	9,310.8	9,404.5			9,404.5
	Institutional Support	16,602.2	16,616.2			16,616.2
	<b>AGENCY SUMMARY - EXPENDITURE AUTHORITY</b>	<b>71,788.1</b>	<b>74,499.3</b>	<b>1,361.9</b>		<b>75,861.2</b>
	<b>EXPENDITURE DETAIL:</b>					
	FTE Positions	548.00	548.00	10.00		558.00
6000	Personal Services	32,418.2	33,694.6	720.0		34,414.6
6100	Employee Related Expenditures	10,369.4	10,588.4	269.4		10,857.8
	All Other Operating Expenditures					
6200	Professional and Outside Services	2,821.0	3,449.0	52.5		3,501.5
6500	Travel In State	23.5	39.3			39.3
6600	Travel Out of State	124.2	296.9			296.9
6700	Food					
6800	Aid to Organizations and Individuals					
7000	Other Operating	22,449.2	22,758.4	118.0		22,876.4
	Library Acquisitions	1,231.0	1,265.5			1,265.5
8400	Capital	751.6	807.2	202.0		1,009.2
	Total All Other Operating Expenditures	27,400.5	28,616.3	372.5		28,988.8
	Subtotal	70,188.1	72,899.3	1,361.9		74,261.2
	Technology and Research Initiative Fund Expenditures	1,600.0	1,600.0			1,600.0
	<b>TOTAL EXPENDITURE AUTHORITY</b>	<b>71,788.1</b>	<b>74,499.3</b>	<b>1,361.9</b>		<b>75,861.2</b>
	Collections/Other Receipts	(37,054.2)	(39,380.3)			(39,380.3)
	Balances Forward					
1000	<b>TOTAL GENERAL FUND</b>	<b>34,733.9</b>	<b>35,119.0</b>	<b>1,361.9</b>		<b>36,480.9</b>
2000	Federal Funds	11,409.5	12,587.5	416.5		13,004.0
	Other Non Appropriated Funds	10,558.5	21,168.9	515.1		21,684.0
	Total Non Appropriated Funds	21,968.0	33,756.4	931.6		34,688.0
	<b>TOTAL EXPENDITURES</b>	<b>93,756.1</b>	<b>108,255.7</b>	<b>2,293.5</b>		<b>110,549.2</b>

DATE PREPARED: OCTOBER 1, 2013

SCHEDULE 3

**AGENCY NAME & AFIS CODE:** ARIZONA STATE UNIVERSITY - WEST (AWA)  
**COST CENTER/PROGRAM NAME:** INSTRUCTION  
**FUND NAME & AFIS NUMBER:** General Fund - 1000; Collections/Other - 1411

**SCHEDULE 3**  
**COST CENTER/PROGRAM SUMMARY OF EXPENDITURES AND BUDGET REQUEST**

AFIS OBJ CODE	CATEGORY	ACTUAL FY 2013	ESTIMATED FY 2014	CONTINUATION REQUESTS	STRATEGIC INVESTMENTS	TOTAL REQUEST FY 2015
	EXPENDITURE DETAIL:					
	FTE Positions	324.47	324.47	6.00		330.47
6000	Personal Services	21,677.9	21,967.5	432.0		22,399.5
6100	Employee Related Expenditures	6,487.4	6,365.6	161.6		6,527.2
	All Other Operating Expenditures					
6200	Professional and Outside Services	427.9	1,059.8			1,059.8
6500	Travel In State	10.8	26.7			26.7
6600	Travel Out of State	117.0	289.7			289.7
6700	Food					
6800	Aid to Organizations and Individuals					
7000	Other Operating	415.1	1,028.0	110.8		1,138.8
	Library Acquisitions					
8400	Capital	36.5	90.5	181.2		271.7
	Total All Other Operating Expenditures	1,007.3	2,494.7	292.0		2,786.7
	Subtotal	29,172.6	30,827.8	885.6		31,713.4
	Technology and Research Initiative Fund Expenditures					
	TOTAL EXPENDITURE AUTHORITY	29,172.6	30,827.8	885.6		31,713.4
	Collections/Other Receipts	(29,172.6)	(30,827.8)			(30,827.8)
	Balances Forward					
1000	TOTAL GENERAL FUND			885.6		885.6
2000	Federal Funds	507.3	480.7	16.3		497.0
	Other Non Appropriated Funds	3,993.4	11,961.0	300.0		12,261.0
	Total Non Appropriated Funds	4,500.7	12,441.7	316.3		12,758.0
	TOTAL EXPENDITURES	33,673.3	43,269.5	1,201.9		44,471.4

DATE PREPARED: OCTOBER 1, 2013

SCHEDULE 3

**AGENCY NAME & AFIS CODE:** ARIZONA STATE UNIVERSITY - WEST (AWA)  
**COST CENTER/PROGRAM NAME:** ORGANIZED RESEARCH  
**FUND NAME & AFIS NUMBER:** General Fund - 1000; Collections/Other - 1411

**SCHEDULE 3**  
**COST CENTER/PROGRAM SUMMARY OF EXPENDITURES AND BUDGET REQUEST**

AFIS OBJ CODE	CATEGORY	ACTUAL FY 2013	ESTIMATED FY 2014	CONTINUATION REQUESTS	STRATEGIC INVESTMENTS	TOTAL REQUEST FY 2015
	EXPENDITURE DETAIL:					
	FTE Positions					
6000	Personal Services					
6100	Employee Related Expenditures					
	All Other Operating Expenditures					
6200	Professional and Outside Services					
6500	Travel In State					
6600	Travel Out of State					
6700	Food					
6800	Aid to Organizations and Individuals					
7000	Other Operating					
	Library Acquisitions					
8400	Capital					
	Total All Other Operating Expenditures					
	Subtotal					
	Technology and Research Initiative Fund Expenditures					
	TOTAL EXPENDITURE AUTHORITY					
	Collections/Other Receipts					
	Balances Forward					
1000	TOTAL GENERAL FUND					
2000	Federal Funds	1,017.6	1,027.0	30.0		1,057.0
	Other Non Appropriated Funds	553.6	1,044.8	33.2		1,078.0
	Total Non Appropriated Funds	1,571.2	2,071.8	63.2		2,135.0
	TOTAL EXPENDITURES	1,571.2	2,071.8	63.2		2,135.0

DATE PREPARED: OCTOBER 1, 2013

SCHEDULE 3



**AGENCY NAME & AFIS CODE:** ARIZONA STATE UNIVERSITY - WEST (AWA)  
**COST CENTER/PROGRAM NAME:** PUBLIC SERVICE  
**FUND NAME & AFIS NUMBER:** General Fund - 1000; Collections/Other - 1411

**SCHEDULE 3**  
**COST CENTER/PROGRAM SUMMARY OF EXPENDITURES AND BUDGET REQUEST**

AFIS OBJ CODE	CATEGORY	ACTUAL FY 2013	ESTIMATED FY 2014	CONTINUATION REQUESTS	STRATEGIC INVESTMENTS	TOTAL REQUEST FY 2015
	EXPENDITURE DETAIL:					
	FTE Positions					
6000	Personal Services					
6100	Employee Related Expenditures					
	All Other Operating Expenditures					
6200	Professional and Outside Services					
6500	Travel In State					
6600	Travel Out of State					
6700	Food					
6800	Aid to Organizations and Individuals					
7000	Other Operating					
	Library Acquisitions					
8400	Capital					
	Total All Other Operating Expenditures					
	Subtotal					
	Technology and Research Initiative Fund Expenditures					
	TOTAL EXPENDITURE AUTHORITY					
	Collections/Other Receipts					
	Balances Forward					
1000	TOTAL GENERAL FUND					
2000	Federal Funds	9,884.6	11,079.8	370.2		11,450.0
	Other Non Appropriated Funds	374.5	364.6	10.4		375.0
	Total Non Appropriated Funds	10,259.1	11,444.4	380.6		11,825.0
	TOTAL EXPENDITURES	10,259.1	11,444.4	380.6		11,825.0

DATE PREPARED: OCTOBER 1, 2013

SCHEDULE 3

**AGENCY NAME & AFIS CODE:** ARIZONA STATE UNIVERSITY - WEST (AWA)  
**COST CENTER/PROGRAM NAME:** ACADEMIC SUPPORT  
**FUND NAME & AFIS NUMBER:** General Fund - 1000; Collections/Other - 1411

**SCHEDULE 3**  
**COST CENTER/PROGRAM SUMMARY OF EXPENDITURES AND BUDGET REQUEST**

AFIS OBJ CODE	CATEGORY	ACTUAL FY 2013	ESTIMATED FY 2014	CONTINUATION REQUESTS	STRATEGIC INVESTMENTS	TOTAL REQUEST FY 2015
	EXPENDITURE DETAIL:					
	FTE Positions	67.04	67.04	4.00		71.04
6000	Personal Services	3,717.7	4,187.4	288.0		4,475.4
6100	Employee Related Expenditures	1,325.5	1,477.1	107.8		1,584.9
	All Other Operating Expenditures					
6200	Professional and Outside Services	1,348.5	1,386.3	52.5		1,438.8
6500	Travel In State	0.8	0.9			0.9
6600	Travel Out of State	1.9	2.0			2.0
6700	Food					
6800	Aid to Organizations and Individuals					
7000	Other Operating	8,623.5	8,865.3	7.2		8,872.5
	Library Acquisitions	1,231.0	1,265.5			1,265.5
8400	Capital	453.6	466.3	20.8		487.1
	Total All Other Operating Expenditures	11,659.3	11,986.3	80.5		12,066.8
	Subtotal	16,702.5	17,650.8	476.3		18,127.1
	Technology and Research Initiative Fund Expenditures					
	TOTAL EXPENDITURE AUTHORITY	16,702.5	17,650.8	476.3		18,127.1
	Collections/Other Receipts	(7,881.6)	(8,552.5)			(8,552.5)
	Balances Forward					
	TOTAL GENERAL FUND	8,820.9	9,098.3	476.3		9,574.6
2000	Federal Funds					
	Other Non Appropriated Funds	3,579.4	5,151.1	107.9		5,259.0
	Total Non Appropriated Funds	3,579.4	5,151.1	107.9		5,259.0
	TOTAL EXPENDITURES	20,281.9	22,801.9	584.2		23,386.1

DATE PREPARED: OCTOBER 1, 2013

SCHEDULE 3

**AGENCY NAME & AFIS CODE:** ARIZONA STATE UNIVERSITY - WEST (AWA)  
**COST CENTER/PROGRAM NAME:** STUDENT SERVICES  
**FUND NAME & AFIS NUMBER:** General Fund - 1000; Collections/Other - 1411

**SCHEDULE 3**  
**COST CENTER/PROGRAM SUMMARY OF EXPENDITURES AND BUDGET REQUEST**

AFIS OBJ CODE	CATEGORY	ACTUAL FY 2013	ESTIMATED FY 2014	CONTINUATION REQUESTS	STRATEGIC INVESTMENTS	TOTAL REQUEST FY 2015
	EXPENDITURE DETAIL:					
	FTE Positions	72.79	72.79			72.79
6000	Personal Services	3,617.1	3,722.2			3,722.2
6100	Employee Related Expenditures	1,266.3	1,288.6			1,288.6
	All Other Operating Expenditures					
6200	Professional and Outside Services	199.3	197.7			197.7
6500	Travel In State	10.5	10.4			10.4
6600	Travel Out of State	2.7	2.7			2.7
6700	Food					
6800	Aid to Organizations and Individuals					
7000	Other Operating	4,183.7	4,151.9			4,151.9
	Library Acquisitions					
8400	Capital	31.2	31.0			31.0
	Total All Other Operating Expenditures	4,427.4	4,393.7			4,393.7
	Subtotal	9,310.8	9,404.5			9,404.5
	Technology and Research Initiative Fund Expenditures					
	TOTAL EXPENDITURE AUTHORITY	9,310.8	9,404.5			9,404.5
	Collections/Other Receipts					
	Balances Forward					
1000	TOTAL GENERAL FUND	9,310.8	9,404.5			9,404.5
2000	Federal Funds					
	Other Non Appropriated Funds	1,965.9	2,397.9	57.1		2,455.0
	Total Non Appropriated Funds	1,965.9	2,397.9	57.1		2,455.0
	TOTAL EXPENDITURES	11,276.7	11,802.4	57.1		11,859.5

DATE PREPARED: OCTOBER 1, 2013

SCHEDULE 3

**AGENCY NAME & AFIS CODE:** ARIZONA STATE UNIVERSITY - WEST (AWA)  
**COST CENTER/PROGRAM NAME:** INSTITUTIONAL SUPPORT  
**FUND NAME & AFIS NUMBER:** General Fund - 1000; Collections/Other - 1411

**SCHEDULE 3**  
**COST CENTER/PROGRAM SUMMARY OF EXPENDITURES AND BUDGET REQUEST**

AFIS OBJ CODE	CATEGORY	ACTUAL FY 2013	ESTIMATED FY 2014	CONTINUATION REQUESTS	STRATEGIC INVESTMENTS	TOTAL REQUEST FY 2015
	EXPENDITURE DETAIL:					
	FTE Positions	83.70	83.70			83.70
6000	Personal Services	3,405.5	3,817.5			3,817.5
6100	Employee Related Expenditures	1,290.2	1,457.1			1,457.1
	All Other Operating Expenditures					
6200	Professional and Outside Services	845.3	805.2			805.2
6500	Travel In State	1.4	1.3			1.3
6600	Travel Out of State	2.6	2.5			2.5
6700	Food					
6800	Aid to Organizations and Individuals					
7000	Other Operating	9,226.9	8,713.2			8,713.2
	Library Acquisitions					
8400	Capital Equipment	230.3	219.4			219.4
	Total All Other Operating Expenditures	10,306.5	9,741.6			9,741.6
	Subtotal	15,002.2	15,016.2			15,016.2
	Technology and Research Initiative Fund Expenditures	1,600.0	1,600.0			1,600.0
	TOTAL EXPENDITURE AUTHORITY	16,602.2	16,616.2			16,616.2
	Collections/Other Receipts					
	Balances Forward					
1000	TOTAL GENERAL FUND	16,602.2	16,616.2			16,616.2
2000	Federal Funds					
	Other Non Appropriated Funds	91.7	249.5	6.5		256.0
	Total Non Appropriated Funds	91.7	249.5	6.5		256.0
	TOTAL EXPENDITURES	16,693.9	16,865.7	6.5		16,872.2

DATE PREPARED: OCTOBER 1, 2013

SCHEDULE 3

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**FEDERAL 1**  
**COST CENTER/PROGRAM SUMMARY OF FEDERAL EXPENDITURES**

AFIS OBJ CODE	CATEGORY	ACTUAL FY 2013	ESTIMATED FY 2014	INCREASE/ (DECREASE)	ESTIMATED FY 2015
6000	Personal Services	3,033.7	2,862.3	94.7	2,957.0
6100	Employee Related Expenditures	872.7	823.4	28.6	852.0
6200	Professional and Outside Services	491.3	673.7	23.3	697.0
6500	Travel In State	55.9	56.9	1.1	58.0
6600	Travel Out of State	91.0	100.5	0.5	101.0
6700	Food	8.8	8.8		8.8
6800	Aid to Organizations and Individuals	6,689.8	7,897.8	262.2	8,160.0
7000	Other Operating	130.5	127.6	4.6	132.2
8400	Capital Equipment	12.2	12.5	0.5	13.0
8500	Non-Capital Equipment	23.6	24.0	1.0	25.0
	<b>TOTAL DIRECT COSTS</b>	<b>11,409.5</b>	<b>12,587.5</b>	<b>416.5</b>	<b>13,004.0</b>
9000	Cost Allocation/Indirect Costs	1,524.5	1,531.9	99.1	1,631.0
9100	Transfers and Refunds (Out)	12.3	11.6	0.4	12.0
	<b>TOTAL EXPENDITURES</b>	<b>12,946.3</b>	<b>14,131.0</b>	<b>516.0</b>	<b>14,647.0</b>
	REVENUE DETAIL				
4210	New Federal Revenue	12,065.2	13,169.3	480.9	13,650.2
4230	Pass Through Funds (From other state agencies)	200.4	218.7	8.0	226.7
4900	Transfers and Other Funds (In)	680.7	743.0	27.1	770.1
	<b>TOTAL REVENUES</b>	<b>12,946.3</b>	<b>14,131.0</b>	<b>516.0</b>	<b>14,647.0</b>
9998	Beginning Balance				
9999	Ending Balance				

DATE PREPARED: OCTOBER 1, 2013

FEDERAL 1

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)  
 COST CENTER/PROGRAM NAME: SUMMARY

**FEDERAL 2**  
**AGENCY SUMMARY OF FEDERAL REVENUE AND EXPENDITURES BY UNIVERSITY PROGRAM**

PROGRAM	ACTUAL FY 2013				ESTIMATED FY 2014		ESTIMATED FY 2015	
	NUMBER OF AWARDS	REVENUE	EXPENDITURE	TRANSFERS AND REFUNDS	REVENUE	EXPENDITURE	REVENUE	EXPENDITURE
Instruction	5	507.3	507.3		480.7	480.7	497.0	497.0
Organized Research	25	2,554.4	2,554.4		2,570.5	2,570.5	2,700.0	2,700.0
Public Service	41	9,884.6	9,872.3	12.3	11,079.8	11,079.8	11,450.0	11,450.0
Academic Support								
Student Services								
Institutional Support								
<b>TOTAL</b>	<b>71</b>	<b>12,946.3</b>	<b>12,934.0</b>	<b>12.3</b>	<b>14,131.0</b>	<b>14,131.0</b>	<b>14,647.0</b>	<b>14,647.0</b>

DATE PREPARED: OCTOBER 1, 2013

FEDERAL 2

AGENCY NAME & AFIS CODE: ARIZONA STATE UNIVERSITY - WEST (AWA)

COST CENTER/PROGRAM NAME: SUMMARY

**FEDERAL 2**  
**AGENCY SUMMARY OF FEDERAL REVENUE AND EXPENDITURES BY UNIVERSITY PROGRAM**

PROGRAM	ACTUAL FY 2013				ESTIMATED FY 2014		ESTIMATED FY 2015	
	NUMBER OF AWARDS	REVENUE	EXPENDITURE	TRANSFERS AND REFUNDS	REVENUE	EXPENDITURE	REVENUE	EXPENDITURE
Department of Education	38	11,670.2	11,670.2		11,670.2	11,670.2	11,670.2	11,670.2
Department of Justice	2	73.9	73.9		73.9	73.9	73.9	73.9
Health and Human Services	4	75.8	75.8		75.8	75.8	75.8	75.8
National Science Foundation	9	245.2	245.2		245.2	245.2	245.2	245.2
Other Federal	12	680.7	668.4	12.3	680.7	680.7	680.7	680.7
Estimate of Increased Federal Grant Activity					1,166.5	1,166.5	1,674.5	1,674.5
<b>SUBTOTAL</b>	<b>65</b>	<b>12,745.8</b>	<b>12,733.5</b>	<b>12.3</b>	<b>13,912.3</b>	<b>13,912.3</b>	<b>14,420.3</b>	<b>14,420.3</b>
Pass Through From State Agencies	6	200.4	200.4		218.7	218.7	226.7	226.7
<b>SUBTOTAL PASS THROUGH</b>	<b>6</b>	<b>200.4</b>	<b>200.4</b>		<b>218.7</b>	<b>218.7</b>	<b>226.7</b>	<b>226.7</b>
<b>TOTAL</b>	<b>71</b>	<b>12,946.2</b>	<b>12,933.9</b>	<b>12.3</b>	<b>14,131.0</b>	<b>14,131.0</b>	<b>14,647.0</b>	<b>14,647.0</b>

DATE PREPARED: OCTOBER 1, 2013

FEDERAL 2

ASU Main - Operating Lump Sum		
	FY 15 Request	Combined (GF & OF)
Total Salaries over FICA max		94,662.6 (Please input total salary amount)
Positions over FICA max		559.5 (number of FTE positions)
Positions Ineligible for Health Insurance		92.9 (number of FTE positions)
Ineligible for Health Insurance Personal Services		33,456.2 (personal services total)
Public Safety Retirement Personal Services		1,677.3 (personal services total)
Optional Retirement Personal Services		201,067.3 (personal services total)

ASU Main - Downtown Phoenix Campus SLI		
	FY 15 Request	Combined (GF & OF)
Total Salaries over FICA max		8,053.5
Positions over FICA max		49.4
Positions Ineligible for Health Insurance		54.6
Ineligible for Health Insurance Personal Services		6,047.0
Public Safety Retirement Personal Services		218.2
Optional Retirement Personal Services		26,268.4

ASU Main - Biomedical Informatics SLI		
	FY 15 Request	Combined (GF & OF)
Total Salaries over FICA max		274.5
Positions over FICA max		2.0
Positions Ineligible for Health Insurance		0.0
Ineligible for Health Insurance Personal Services		0.0
Public Safety Retirement Personal Services		0.0
Optional Retirement Personal Services		1,069.2

ASU East - Operating Lump Sum		
	FY 15 Request	Combined (GF & OF)
Total Salaries over FICA max		3,113.9
Positions over FICA max		20.0
Positions Ineligible for Health Insurance		27.1
Ineligible for Health Insurance Personal Services		1,975.7
Public Safety Retirement Personal Services		300.9
Optional Retirement Personal Services		14,164.3

ASU West - Operating Lump Sum		
	FY 15 Request	Combined (GF & OF)
Total Salaries over FICA max		4,105.1
Positions over FICA max		25.2
Positions Ineligible for Health Insurance		28.6
Ineligible for Health Insurance Personal Services		2,110.7
Public Safety Retirement Personal Services		560.1
Optional Retirement Personal Services		15,817.0



ASU TEMPE/DPC

Operating + SLIs COMBINED		
	FY 13 Actual	FY 14 Est
FTE	6,489.0	6,241.0
PS	393,886,000	420,884,600
ERE	120,463,200	128,560,500
P&O	37,693,900	38,117,800
Travel In	254,200	295,400
Travel Out	3,429,200	4,354,200
Other Op	99,584,300	102,114,400
Library	9,841,300	8,609,900
Equipment	20,894,400	22,708,900
<b>TOTAL</b>	<b>686,046,500</b>	<b>725,645,700</b>
Collections	428,119,300	453,068,700
General	257,927,200	272,577,000
Total	686,046,500	725,645,700

SLI - Downtown Phx Campus COMBINED		
	FY 13 Actual	FY 14 Est
FTE	771.0	809.0
PS	49,272,000	51,196,200
ERE	14,768,700	15,516,800
P&O	5,667,600	6,317,100
Travel In	54,700	62,000
Travel Out	478,400	548,100
Other Op	30,743,000	35,819,600
Library	160,000	204,800
Equipment	1,218,400	1,343,200
<b>TOTAL</b>	<b>102,362,800</b>	<b>111,007,800</b>
Collections	80,162,000	88,562,800
General	22,200,800	22,445,000
Total	102,362,800	111,007,800

Biomedical Informatics

COMBINED		
	FY 13 Actual	FY 14 Est
FTE	14.2	19.9
PS	1,556,500	1,971,100
ERE	405,700	613,500
P&O	151,400	137,200
Travel In	100	
Travel Out	1,000	
Other Op	17,300	25,600
Library	0	
Equipment	0	
<b>TOTAL</b>	<b>2,132,000</b>	<b>2,747,400</b>
Collections	176,800	792,200
General	1,955,200	1,955,200
Total	2,132,000	2,747,400

SLI - Research Infrastructure  
General Fund

	FY 13 Actual	FY 14 Est
FTE		
PS		
ERE		
P&O		
Travel In		
Travel Out		
Other Op	13,555,000	13,555,000
Library		
Equipment		
<b>TOTAL</b>	<b>13,555,000</b>	<b>13,555,000</b>

Operating Only

COMBINED		
	FY 13 Actual	FY 14 Est
FTE	5,703.8	5,412.1
PS	343,057,500	367,717,300
ERE	105,288,800	112,430,200
P&O	31,874,900	31,663,500
Travel In	199,400	233,400
Travel Out	2,949,800	3,806,100
Other Op	55,269,000	52,714,200
Library	9,681,300	8,405,100
Equipment	19,676,000	21,365,700
<b>TOTAL</b>	<b>567,996,700</b>	<b>598,335,500</b>
Collections	347,780,500	363,713,700
General	220,216,200	234,621,800
Total	567,996,700	598,335,500