OHR END-USER DOCUMENTATION OVERVIEW

FAMILY MEMBER HEALTH
ASU LEAVE (NON-FMLA)

BENEFITS DESIGN & MANAGEMENT
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Office of Human Resources
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<th><strong>Purpose</strong></th>
<th>This document outlines the basic information you will need to approve and process an employee’s request for the following type of leave: <em>Family Member Health - ASU Leave (Non-FMLA)</em></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Objectives</strong></td>
<td>After reading this guide, you will be able to determine an employee’s eligibility for leave and complete the appropriate leave paperwork.</td>
</tr>
<tr>
<td><strong>Overview</strong></td>
<td>The forms required for leave administration have been designed to provide the mandated information required by ASU policy.</td>
</tr>
</tbody>
</table>
| **Definition: Employee Eligibility** | **Classification:**
- Faculty
- Academic Professional
- Administrator
- University Staff
- Classified Staff

**Employment Type:**
- Regular
- Long-Term Temporary

**Working Hours:**
- Full-Time |
| **Definition: Reason for Leave of Absence** | An employee is eligible for leave for the following reason:

To provide physical or psychological care for a spouse, child, parent or member of the employee’s established household who has a health concern arising from an illness, injury, impairment, or a physical or mental condition. |
| **Definition: Duration of Leave of Absence** | Subject to the department’s discretion, an employee may be placed on one of the following leaves:

- Extended Leave of Absence (staff)
- Health Related Leave with Pay (faculty/sick)
- Leave of Absence without Pay (faculty)

The duration of leave should be determined based on the medical documentation, but in no case should any combination of paid or unpaid leave of absence (e.g. FMLA, extended leave of absence) and paid leave benefits (e.g. sick or vacation leave benefits) be for more than 12 consecutive months from the first day of leave.
**Definitions**

**Leave of Absence:**
A (paid or unpaid) employment category designating that an employee is in a non-work status.

**Leave Benefit Plan:**
A benefit (e.g. vacation, sick or parental leave benefit) that compensates the employee when he/she is absent from work.

**Spouse:**
A husband or wife as defined and recognized by Arizona law for purposes of marriage.

**Child:**
Biological, adopted, foster child, stepchild, or legal ward under the age of 18; if older than 18, one incapable of self-care because of a mental or physical disability.

**Parent:**
Biological, adoptive, step, or foster father or mother or anyone else who undertook the parental role.

**Member of Established Household:**
A person who shared your permanent residence prior to the requested leave and who is expected to continue to reside with you following the leave. ASU may require written verification to establish the relationship (e.g. the person's bank statement listing your address).

**Health Care Provider:**
A state licensed doctor of medicine or osteopathy, podiatrist, dentist, clinical psychologist, optometrist, chiropractor, nurse practitioner, nurse midwife, clinical social worker, physician assistant, or Christian Science practitioner. Licensed health care providers in foreign countries are included.

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**Step 1  Determine Leave Process**

To effectively manage leaves, it is important to understand the process in your particular Dean or VP area.

**Centralized:**
One person, (e.g. a Department Leaves Representative, a BOM, a Data Time Administrator, or a HR Manager) handles all aspects of the process for your Dean or VP area.
Decentralized:
Each separate division (office, unit, department, or team) within your Dean or VP area handles the entire process for the employees in that particular section.

Hybrid:
The process is handled individually by each separate division but channeled through one main authority in your Dean or VP area.

### Step 2 Receive or Issue Leave of Absence Request Form (PDF)

When the need for leave is foreseeable, an employee is required to give at least a 30-day written notice. If the leave is required due to a medical emergency or other unforeseeable event, the employee must provide as much notice as is practicable under the circumstances.

- Department receives Leave of Absence Request Form
- Department issues Leave of Absence Request Form
- In the case of employee’s inability to complete the necessary paperwork, the department leaves representative should complete the form immediately upon determining the employee will be absent.

- Complete the Employee and/or Supervisor sections, as applicable
- Indicate date form issued to employee on copy and place in employee’s leave file

**IMPORTANT:** Confidential leave and medical information must be kept in a secured and separate file from the department personnel files. Departments will be audited periodically to ensure compliance.

- Fax copy to Disability & Leaves Program Management Unit at 480.993.0007

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**ASU LEAVE OF ABSENCE REQUEST FORM**

**EMPLOYEE: COMPLETE/Submit TO DEPARTMENT**

<table>
<thead>
<tr>
<th>Employee Name:</th>
<th>Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Employee 10-digit ID Number:</td>
<td></td>
</tr>
</tbody>
</table>

**Requested Dates:** From: __________ (first day of leave) To: __________ (proposed return to work date)

**Reason:**

- Birth/Placement for Adoption or Foster Care/Bonding
  - Birth [ ] Date of Birth: __________
  - Placement for Adoption [ ] Date of Placement: __________
  - Placement for Foster Care [ ] Date of Placement: __________
  - Bonding (Within one year) [ ] Date of Birth or Placement: __________

**Are you requesting Parental Leave Benefits?**

- [ ] Yes
- [ ] No

**Are you:**

- [ ] Mother
- [ ] Father
- [ ] Domestic Partner
- [ ] Other

**Is another ASU employee also requesting leave for the same event?**

- [ ] Yes
- [ ] No

**If yes, Employee’s Name and ID Number:**

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Within five (5) business days, the department leaves representative must respond to the employee’s request by:
- Completing the department portion of the following forms,
- Issuing the following forms to the employee (in person, via email, US Mail)

ASU Leave Notice of Conditional Approval - Family Member Health
AND
ASU Leave Medical Documentation for Family Member Health

Step 3 Issue the ASU Leave Notice of Conditional Approval - Family Member Health (Non-FMLA) (PDF)

This is the first notice to be given to the employee upon receipt (or issuance) of a leave request. This notice of conditional approval provides information about the employee’s eligibility for leave, details the employee’s specific responsibilities and explains any consequences for the employee failing to meet those responsibilities.

- Complete the form, as applicable
- Mail to the employee along with the appropriate supplemental forms (See Steps 4 and 5)
- Indicate date form issued to employee on copy and place in employee’s leave file

IMPORTANT: Confidential leave and medical information must be kept in a secured and separate file from the department personnel files. Departments will be audited periodically to ensure compliance.

Step 4 Include the ASU Leave Medical Documentation for Family Member Health (Non-FMLA) (PDF)

This form provided to the employee requests the necessary qualifying medical information from the employee’s health care provider to substantiate the need for leave. If the employee does not provide this completed documentation, ASU has
the right to deny the requested leave.

This form should be completed by the health care provider 30-45 days prior to the beginning of the leave. If the form is completed earlier and it becomes necessary to change the leave date, a second documentation may be required.

- Complete Section I
- Allow the employee at least 15 calendar days to return the documentation
- Mail to the employee with the Notice of Conditional Approval (See Step 3)
- Instruct the employee to complete Section II
- Instruct the employee to give entire form to the family member’s health care provider, asking him/her to complete Section III and to return the form, as indicated
- Indicate date form issued to employee on copy and place in employee’s leave file

**IMPORTANT:** Confidential leave and medical information must be kept in a secured and separate file from the department personnel files. Departments will be audited periodically to ensure compliance.

- Fax copy to the Disability & Leaves Program Management Unit at 480.993.0007

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**Step 5  Authenticate or Clarify ASU Leave Medical Documentation**

If the employee submits a complete and sufficient documentation, *no additional information may be requested from the health care provider.*

If the documentation is considered either incomplete or insufficient, the employee should be asked to resubmit the form to the provider.

If no additional information is provided, the leave may be denied.
Step 6  Issue the ASU Leave Designation Notice for Family Member Health (Non-FMLA) (PDF)

After the department has received and reviewed the ASU Leave Medical Documentation, the Designation Notice is provided to advise the employee if the leave has been approved or denied, or if additional information is needed,

- Complete and mail to the employee within five (5) business days of receipt of the medical documentation
- Indicate date form issued to employee on copy and place in employee’s leave file

**IMPORTANT:** Confidential leave and medical information must be kept in a secured and separate file from the department personnel files. Departments will be audited periodically to ensure compliance.

![ASU Leave Designation Notice](image)

Step 7  Issue the Leave of Absence Status Change Form (PDF)

Issue this *in the pay period* any of the following events occur:
* The duration of leave period changes
* The type of leave changes
* The pay status changes
* The employee returns to work

- Fax to Disability & Leaves Program Management Unit at 480.993.0007
- Indicate the date faxed and place in employee’s leave file

**IMPORTANT:** Confidential leave and medical information must be kept in a secured and separate file from the department personnel files. Departments will be audited periodically to ensure compliance.
**Time Reporting and Compassionate Transfer of Leave**

**TIME REPORTING**
The department leaves representative or department time administrator is responsible for recording an employee’s leave hours while the employee is absent from work on leave.

To record time in HRIS, go to:
1. Manager Self Service
2. Time Management
3. Report Time
4. Timesheet
5. Click: Get Employees
6. Select employee
7. View By: Time Period
8. On a daily basis, enter the number of hours of eligible time:
### Description | Pay Code
---|---
Sick, Paid | SCK (salaried) SCP (hourly)
Compensatory Time, Paid | CTH (hourly)
Vacation, Paid | VAC (salaried) VAH (hourly)
Unpaid Sick | USS (salaried) USH (hourly)

An employee may use any available time to remain in a paid status.

Use only when an employee has both paid and unpaid time in the same pay period (e.g. 50 hours VAC and 30 hours USS)

(Because the employee is still in an Active (paid) status, a salaried employee would continue to be paid full salary if the unpaid sick code is not used.)

If an employee has NO paid hours available in a pay period, issue the LOA Status Change Form and report no hours.

### COMPASSIONATE TRANSFER OF LEAVE (CTL)

When it is apparent that the employee will be eligible for CTL, the department leaves representative should submit the following budgetary approved items to the department's designated Leaves Management Partner by confidential e-fax at 480.993.0007:

1) The Request for Donated Hours, and
2) The CTL Request Memo, and
3) Any applicable medical documentation.

The Leaves Management Partner will notify the supervisor if the CTL has been approved.

If CTL is approved, the Leave of Absence Status Change Form must be issued (if not already issued) placing the employee on unpaid leave.
More Information

Contact

OHR Benefits Design and Management
Disability and Leaves Program Management Unit

For Department Use Only
Your Leaves Management Partner
cfo.asu.edu/hr-benefitspartners.

Call 2-1748 or
Email HR_Disability@asu.edu

Process Checklist - Quick Reference Guide

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## Forms and Policy References

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<th>Description</th>
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<tbody>
<tr>
<td>Leave of Absence Request Form</td>
<td>ACD POLICIES 702-02 Health Related Leave</td>
</tr>
<tr>
<td>Authorization for Release of Health Care Information</td>
<td>704-02 Vacation Leave-Fiscal Year Appt</td>
</tr>
<tr>
<td>Leave of Absence Status Change Form</td>
<td>704-03 Compassionate Transfer of Leave</td>
</tr>
<tr>
<td>Designation Notice (ASU Leave)</td>
<td>707 Leave of Absence Without Pay</td>
</tr>
</tbody>
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### SPP POLICIES

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<tbody>
<tr>
<td>Notice of Conditional Approval (ASU Leave)</td>
<td>404-04 Overtime</td>
</tr>
<tr>
<td>Medical Documentation (ASU Leave)</td>
<td>701-01 Sick Leave</td>
</tr>
<tr>
<td>Designation Notice (ASU Leave)</td>
<td>702-01 Vacation Leave</td>
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<tr>
<td></td>
<td>702-04 Compassionate Transfer of Leave</td>
</tr>
<tr>
<td></td>
<td>705-01 Extended Leave of Absence</td>
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</tbody>
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### Forms

- Generic to all leaves:
  - Leave of Absence Request Form
  - Authorization for Release of Health Care Information
  - Leave of Absence Status Change Form

- Specific to Family Member's Health
  - Notice of Conditional Approval (ASU Leave)
  - Medical Documentation (ASU Leave)
  - Designation Notice (ASU Leave)